Understanding complex pressures on the Wadden Sea and options for action

Dutch Annex to the joint Call for proposals
## Contents

1 Introduction  
   1.1 Background of the Dutch Research Agenda  
   1.2 Available budget  
   1.3 Submission deadline  

2 Aims of the NWA  
   2.1 Knowledge-chain and NWA  
   2.2 Societal impact  

3 Conditions for applicants  
   3.1 Who can apply  
   3.2 What can be applied for  
   3.3 Dutch conditions for submission  
   3.4 Dutch conditions on granting  

4 Notes on the evaluation procedure  
   4.1 The San Francisco Declaration (DORA)  

5 Obligations for NWO grant recipients  
   5.1 Content monitoring  
   5.2 Accountability and project completion  
   5.3 Data management  
   5.4 Intellectual property and project agreement  
   5.5 Socially responsible licensing  
   5.6 Open Access  

6 Contact and other information  
   6.1 Contact  
   6.2 Other information  

7 Further details and explanation  
   7.1 Budget modules  
   7.2 Explanation of conditions regarding Industrial and Societal Doctorates  
   7.3 Public Knowledge Organisations  
   7.4 Conditions for co-funding
1 Introduction

In this Dutch Annex information is provided about the NWO application conditions for the Dutch part of applications in the ‘Understanding complex pressures on the Wadden Sea and options for action’ funding round. Each application consists of a Dutch and a German part that find their basis in a single integrated consortium and research plan. The Dutch funding in this Call for proposals falls under the responsibility of the Dutch Research Council (NWO).

In this Dutch Annex, you will find information about the background of the Dutch Research Agenda (NWA), characteristics of NWA including Impact (Chapter 2), the Dutch conditions for the bilateral grant application (Chapter 3) and notes on the evaluation procedure (Chapter 4). Chapter 5 states the obligations for Dutch grant recipients in the event you are awarded funding, Chapter 6 contains the contact details and Chapter 7 further grant details.

1.1 Background of the Dutch Research Agenda

The Dutch funding for this call is being realised within the framework of the Dutch Research Agenda.

What does the Netherlands want to know? This was the idea behind the creation of the Dutch Research Agenda (Dutch acronym NWA). The NWA has been created by an innovative process with input from scientists and citizens: the Dutch general public was invited to submit questions about science online. The national knowledge community, united in the Knowledge Coalition, grouped the questions collected into 140 cluster questions that were used to formulate 25 routes.

The NWA concerns complex issues where alignment and collaboration have added value for realising scientific and societal breakthroughs. The aim of the NWA is to make a positive and structural contribution to the global knowledge society of tomorrow, where new knowledge easily passes from researchers to users and where new questions arise from the field and society that quickly and automatically find their way into new research. The NWA programme therefore encourages collaboration between different partners so that the whole is greater than the sum of its parts.

The key elements of the NWA programme are:

- The Dutch Research Agenda that consists of 25 routes and 140 cluster questions.
- Knowledge-chain-wide and interdisciplinary consortia, in which researchers from different disciplinary backgrounds, knowledge and societal (public and private) organisations and, where relevant, citizens collaborate on complex issues.
- Projects that concern demand-driven research, connect with the routes and cluster questions and thereby adopt a fundamental, application-oriented and field-oriented approach.
- Giving back the results to society through dialogue and interaction.

1 ‘Dutch applicants’ in this document refers to individuals contracted at Netherlands-based organisations. It does not intend to refer to nationality or citizenship, and it is used primarily to contrast with the German part of project consortia.

2 The Knowledge Coalition consists of Dutch research universities (UNL), universities of applied sciences (VH), university medical centres (NFU), the Royal Netherlands Academy of Arts and Sciences (KNAW), the Dutch Research Council (NWO), employers (VNO-NCW and MKB-Nederland) and the institutes for applied research (TNW/TO2).

3 The 25 routes and corresponding cluster questions can be found in https://2.wetenschapsagenda.nl/wp-content/uploads/2016/12/nwa_deel_eng_digitaal.pdf. The 140 cluster questions can also be found in a PDF file available from https://2.wetenschapsagenda.nl/publicatie/dutch-national-research-agenda-english/.

4 The broad knowledge chain comprises the public knowledge institutions: universities of applied sciences, universities, NWO and KNAW institutes, university medical centres and TO2 institutes, as well as other public knowledge organisations such as National Knowledge Institutes (see Chapter 7 for a full list of public knowledge organisations).
Chapter 1 Introduction / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

In 2018 the Ministry of Education, Culture and Science (Dutch acronym) OCW entrusted NWO with implementing the Dutch Research Agenda. The NWA comprises four programme lines:

1. Research along Routes by Consortia (ORC);
2. Thematic Programming in consultation with government bodies;
3. Innovations and Networks;
4. Science Communication and Outreach.

The bilateral Dutch-German call for proposals ’Understanding complex pressures on the Wadden Sea and options for action’ is on the Dutch side being realised in the context of programme line 2 of the NWA programme. In this call, the initiators on the Dutch side are the Ministry of Agriculture, Nature and Food Quality (LNV), the Ministry of Economic Affairs and Climate Policy (EZK), the Ministry of Infrastructure and Water Management (IenW). In addition, the Provinces of North Holland, Fryslân and Groningen contribute via the Waddenfonds regional fund. The Dutch Research Council has matched the governmental funding with an equal sum via the Dutch Research Agenda.

The Executive Board of NWO is the decision-making body on the Dutch side of the joint call.

1.2 Available budget

The available budget for the Dutch part of this Call for proposals is € 4,775,000. Of this, € 4,625,000 is available for projects in phase I, and € 150,000 is available for a project in phase II.

1.3 Submission deadline

The deadline for submitting full proposal of phase I is **July 6th, 2023**, before 14:00:00 hours CEST. The deadline for submitting the full proposal of phase II is **October 10th, 2024**, before 14:00:00 hours CEST.

When you submit your application in ISAAC, you will also need to enter some details online. Therefore please start submitting your application at least one day before the deadline of this Call for proposals. Applications that are submitted after the deadline will not be taken into consideration.

---

5 More information about the different programme lines is available at https://www.nwo.nl/en/researchprogrammes/dutch-research-agenda-nwa

6 Part of LNV’s contribution is realised via the ‘Ecological Impulse Package Wadden Sea’ (Ecologisch Impulspakket Waddenzee), originating in the National Recovery and Resilience Plan (Nationaal Herstel- en Veerkrachtplan) grant (July 2022) from the EU Recovery and Resilience Facility (RRF).
2 Aims of the NWA

The aims and the scope of this joint call for proposals are described in the joint call document. The present chapter provides extra details about the Dutch Research Agenda (NWA).

2.1 Knowledge-chain and NWA

NWA Line 2 Calls for proposals invite knowledge institutions and societal parties to form consortia for the development of scientific and practice-oriented research proposals aimed at societally relevant themes. The consortia are required to work in an interdisciplinary and transdisciplinary manner and should have a knowledge-chain-wide composition. Knowledge-chain-wide implies that proposals connect fundamental, applied and practice-oriented research and that connection is sought with the knowledge needs of societal parties.

This call for proposals connects with various NWA routes, namely:
- Blue Route: Water as a pathway to innovation and sustainable growth;
- Circular economy and resource efficiency: sustainable circular impact;
- Quality of the environment; and
- Sustainable production of healthy and safe food.


2.2 Societal impact

New knowledge and insights from scientific research can make an important contribution to solutions for societal issues of today and tomorrow. Examples are the energy transition, health and care, or climate change. Through interaction and alignment between researchers and potential knowledge users, the chance of knowledge utilisation increases and with it the chance of societal impact. Through its policy on impact, NWO promotes the potential contribution from research to societal issues by encouraging productive interactions with societal stakeholders, both during the development and implementation of research. It does so in a manner appropriate to the aim of the funding instrument.

2.2.1 Tailor made impact

Depending on the aim of the funding instrument, NWO will select the approach that has the greatest chance of achieving societal impact. The primary aim of the funding instrument determines the method NWO deploys to facilitate knowledge utilisation in various phases of the project (proposal, realisation, project completion) and the effort required from the applicant(s) and partner(s).

In this programme, the Impact Plan approach is applied (see 2.3.2). With this approach in this call for proposals, the development of an integrated strategy by researchers and partners with the target of increasing the chances of the desired societal impact is facilitated. NWO offers an e-learning module to help those interested on their way using the Impact Plan approach. Please visit the NWO website impact.nwo.nl/en for more information.

For more information about the NWO knowledge utilisation policy, see the website: www.nwo.nl/en/knowledge-utilisation.

2.2.2 Impact Plan approach in the NWA

In NWA the programmes focus on complex issues where coordination and cooperation has added value to realise scientific and societal breakthroughs. NWA stimulates the cooperation between
different partners, making the whole is greater than the sum of its parts and stimulating that new knowledge for societal issues is developed.

Societal impact is never solely the result of knowledge and insights gained from the research. To increase the chance of the research’s societal impact, demonstrable involvement is needed from important stakeholders from the moment the consortium is formed until the completion of the project and beyond. Societal impact is often realised in the years after a research project has been concluded. By ensuring continuous alignment between researchers and possible knowledge users from the start of the research articulation (co-design) and when carrying out research (co-creation), the chances of productive interactions, and finally impact, will increase.

Consortia draw up an Impact Plan together with stakeholders as part of the full proposal. The Impact Plan describes how the consortium expects to realise societal impact and the role that productive interactions play in this. It shows how achieving the expected impact has been integrated into the research design and what role consortium partners and stakeholders from the fields of policy, practice and industry play in this.
3 Conditions for applicants

This chapter contains the specific conditions that are applicable to the Dutch grant application, for both phase I and phase II. Firstly it describes who can apply for funding (section 3.1) and what you can request funding for (section 3.2). Subsequently, you will find the conditions for preparing and submitting the application (sections 3.3) and the specific funding conditions (section 3.4).

3.1 Who can apply

Full proposals are to be submitted by the main applicant on behalf of the consortium.

There are four categories of participants within a consortium:
1. Main applicant;
2. Co-applicant(s);
3. Cooperation partner(s);
4. In-kind co-funder(s) (optional).

The Dutch part of a consortium should consist of at least a main applicant, a co-applicant and cooperation partner. These must include at least one applicant (main applicant or co-applicant) from lecturers (or professors) employed by a university of applied sciences (HBO) and/or a TO2 institute*. The conditions for each type of participant are explained in more detail in the following sections.

3.1.1 Main and co-applicants

Dutch main applicant
Full, associate and assistant professors, lecturers employed by an university of applied sciences (HBO) and other researchers with a comparable position** may act as main applicant if they have a tenured position (and therefore a paid position for an indefinite period*) or a tenure track agreement at one of the following organisations:
- universities located in the Kingdom of the Netherlands;
- university medical centres;
- institutes affiliated to the Royal Netherlands Academy of Arts and Sciences (KNAW) or NWO;
- universities of applied sciences as referred to in Article 1.8 of the Higher Education and Scientific Research Act (WHW);
- TO2 institutes7;
- the Netherlands Cancer Institute;
- the Max Planck Institute for Psycholinguistics in Nijmegen;
- Naturalis Biodiversity Center;
- Advanced Research Centre for NanoLithography (ARCNL);
- Princess Máxima Center.

* Lectors employed at a university of applied sciences and researchers employed at a TO2 institute may also submit as a main applicant provided that they have at least a salaried position for a limited period of time.

** A comparable position refers to a researcher that has a demonstrable and comparable number of years of experience in carrying out scientific research and supervising other researchers as a full, associate or assistant professor.

Persons with a zero-hour employment agreement or with a contract for a limited period of time (other than a tenure track appointment and the exception stated above for lecturers and researchers employed at a TO2 institute) are not allowed to submit a proposal as main applicant.

7 These are Deltares, MARIN, Netherlands Aerospace Centre (NLR), TNO and Wageningen (Marine) Reasearch.
Chapter 3 Conditions for applicants / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

It could be the case that the main applicant’s tenure track agreement ends before the intended completion date of the project for which funding is applied for, or that before that date, the main applicant’s tenured contract ends due to the applicant reaching retirement age. In that case, the main applicant needs to include a statement from their employer in which the organisation concerned guarantees that the project and all project members for whom funding has been requested will receive adequate supervision for the full duration of the project. A main applicant employed by a university of applied sciences or a TO2 institute whose employment ends before the intended completion date of the project for which funding is applied must also include such a statement. A template for this guarantee of project supervision is available at the call webpage.

Either the Dutch or German main applicant submits the proposal via the NWO web application ISAAC as overall coordinator. During the evaluation process, NWO will communicate with the overall coordinator.

After a proposal has been awarded funding, the Dutch main applicant will become the Dutch project leader (national coordinator) and point of contact for NWO. The (knowledge) institution of the Dutch main applicant is the main beneficiary and will become the official secretary of the NWO grant.

Extra conditions:
- the main applicant may submit only one proposal in the role of main applicant;
- the main applicant may participate a maximum of one time as co-applicant in another consortium.

Both main- and co-applicants with a part-time contract should guarantee adequate supervision of the project and all project members for whom funding is requested.

Dutch co-applicants
Co-applicants have an active role in realising the project. The (sub)project leaders and beneficiary/beneficiaries are jointly responsible for realising the entire project.

Extra conditions:
- a co-applicant can participate in up to two consortia in that capacity;
- persons with a zero-hours contract are excluded from submitting as a co-applicant.

Co-applicants can be affiliated to the institutions stated in Section 3.1.1 and the public knowledge organisations stated in Section 7.3, but also to other organisations.

If the organisation to which a co-applicant is affiliated is not listed in Section 3.1.1 or 7.3, then it must meet the cumulative criteria indicated below:
- be established in the Netherlands and
- have a public service mission and
- carry out research independently and
- have no profit motive other than for the purposes of conducting further research.

Based on the above, capital companies and partnerships are in any case excluded from participating in the consortium as co-applicants. Other legal forms will be assessed against the cumulative criteria.

Please note: prior to the submission of an application, NWO will assess whether an organisation satisfies these cumulative criteria and may therefore participate as a co-applicant. NWO carries out

---

8 ‘The conduct of research’ from the sub criterion ‘is independent in the conduction of research’ means that conducting research, as defined in the NWO Grant Rules 2017, chapter 5, is the main task of the research organisation; evidenced by official documentation as the statutes, deed of incorporation or other formal documentation. In addition, the research must be conducted by the organisation’s own employees with a salaried employment contract.

9 In Dutch: kapitaalvennootschappen.

10 In Dutch: personenvennootschappen.
Chapter 3 Conditions for applicants / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

this assessment amongst other things to check there is no provision of forbidden state support\textsuperscript{11}. This assessment should also be carried out if an organisation was previously assessed and permitted as a co-applicant within another NWA programme.

The organisation of the intended co-applicant that is not listed in Section 3.1.1 or 7.3 should submit at least the following documents by email no less than 10 working days before the submission deadline (i.e. meaning June 22\textsuperscript{nd}, 2023, before at 14:00 CEST):

- a recent extract from the Netherlands Chamber of Commerce;
- the deed of incorporation or current articles of association or other formal document evidencing the public service mission and absence of profit motive;
- the latest available annual accounts accompanied by an audit statement\textsuperscript{12}.

Other relevant documentation may be added. NWO may request additional information if the above documents are not sufficiently conclusive to determine whether there would be the provision of forbidden state support or whether the organisation may act as a co-applicant.

If the applicant’s organisation does not submit the necessary documents for this check in time, NWO cannot accept the organisation as a co-applicant.

### 3.1.2 Cooperation partners

Cooperation partners are mandatory in this call for proposals. A cooperation partner is a party that is closely involved in conducting the research and/or in knowledge utilisation but that is not a main- nor co-applicant and does not contribute co-funding to the proposal. Including cooperation partners and a concrete strategy to have them involved structurally in the research – from the writing process to operational phase until the end of the project – will generally improve the quality of the consortium and add probability of success.

This may include parties that are involved via participation in an advisory, guidance or user committee, or parties that are unable to capitalise their contribution in advance.

**Please note:** no funding may be requested for salary or research costs as a co-applicant for personnel of organisations that participate as a cooperation partner in the consortium. However, it is possible to remunerate costs by hiring in these organisations as third parties via the modules ‘material costs’, ‘knowledge utilisation’ or ‘project management’ (see Section 3.2 and 7.1).

### 3.1.3 In-kind co-funders

Co-funding is not compulsory within this call. Co-funders are organisations that participate in the consortium and contribute to the project in kind. In-cash co-funding is not permitted in this call. Co-funders do not receive any funding from NWO. The conditions regarding co-funding are specified in Section 7.4.

Organisations whose employees are permitted to act as main applicants in accordance with the description given in Section 3.1.1 may not participate as co-funders in this call for proposals. An exception will be made for TO2 institutes. They may participate in a consortium as co-funders, unless they also participate as a main applicant or co-applicant in the same consortium.

\textsuperscript{11} See Commission Regulations EU 1407/2013 of 18/12/2013 and EU 651/2014 of 17/06/2014 and the Communication of the European Commission 2014/C 198/01 to check whether there is compliance with these aid regulations.

\textsuperscript{12} Organisations that are not legally obliged to have their annual accounts audited do not need to provide such an auditor’s statement. They must however be able to demonstrate that this legal requirement is not applicable to the organisation concerned.
3.2 What can be applied for

The joint Call for proposals mentions the time span of the proposed project and the budget that can be applied for. The budget modules (including the maximum amount) available at NWO for the Dutch part of projects in this Call for proposals are listed in the table below. Apply only for funding that is vital to realise the project. A more detailed explanation of the budget modules can be found in Section 7.1.

**In Phase I:**

<table>
<thead>
<tr>
<th>Budget module</th>
<th>Maximum amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>PhD student</td>
<td>Unrestricted number of positions, according to UNL or NFU rates.</td>
</tr>
<tr>
<td>Engineering Doctorate Degree (EngD)</td>
<td>Unrestricted number of positions in combination with PhD student(s) and postdoc(s), according to UNL or NFU rates</td>
</tr>
<tr>
<td>Postdoc</td>
<td>Unrestricted number of positions, according to UNL or NFU rates.</td>
</tr>
<tr>
<td>Non-scientific staff (NSS) at universities</td>
<td>€100,000 non-scientific staff at (NSS) universities per requested PhD student and/or postdoc position, up to a maximum of €300,000 per application</td>
</tr>
<tr>
<td>Other Scientific personnel (OSS) at universities</td>
<td>€100,000, in combination with PhD student and/or postdoc</td>
</tr>
<tr>
<td>Research leave</td>
<td>The total amount of funds requested for research leave may not exceed 10% of the total budget applied for, according to UNL rates or NFU rates.</td>
</tr>
<tr>
<td>Personnel universities of applied sciences, educational institutions and other organisations</td>
<td>Unrestricted number of positions, with the applicable rate at the time of awarding the grant as taken from Table 2.2, column ‘Hourly rate productive hours, excl. Dutch VAT’ from the Handleiding Overheidstarieven [HOT- Manual Dutch Government rates] (<a href="https://www.nwo.nl/en/salary-tables">https://www.nwo.nl/en/salary-tables</a>).</td>
</tr>
<tr>
<td>Material costs</td>
<td>€15,000 per year per FTE scientific position(^{14})</td>
</tr>
<tr>
<td>Investments (up to €150,000)</td>
<td>Maximum €150,000</td>
</tr>
<tr>
<td>Knowledge utilisation</td>
<td>Mandatory to apply for; minimum 5% and maximum 20% of the total budget applied for.</td>
</tr>
<tr>
<td>Internationalisation</td>
<td>Maximum €25,000</td>
</tr>
<tr>
<td>Project management</td>
<td>Maximum 5% of the total budget applied for</td>
</tr>
</tbody>
</table>

**In Phase II:**

<table>
<thead>
<tr>
<th>Budget module</th>
<th>Maximum amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Postdoc</td>
<td>Unrestricted number of positions, according to UNL or NFU rates.</td>
</tr>
<tr>
<td>Material costs</td>
<td>€15,000 per year per FTE scientific position(^{14})</td>
</tr>
<tr>
<td>Knowledge utilisation</td>
<td>Mandatory to apply for; minimum 5% and maximum 30% of the total budget applied for.</td>
</tr>
<tr>
<td>Internationalisation</td>
<td>Maximum €25,000</td>
</tr>
<tr>
<td>Project management</td>
<td>Maximum €100,000</td>
</tr>
</tbody>
</table>

\(^{13}\) This budget category is not intended to fund the hours of main or co-applicants. For this, either the research leave grant (for institutions that use UNL or NFU rates), or personnel universities of applied sciences, educational institutions and other organisations grant (for institutions that use the HOT rates) is available.

\(^{14}\) This also includes positions at universities of applied sciences, educational institutions and other applying organisations.
3.3 Dutch conditions for submission

Please consult the joint Call for proposals for common conditions for submission.

3.3.1 Formal conditions for submission

The common eligibility criteria for the two phases in this Call for proposals are listed in the joint Call for proposals document. This section includes the additional eligibility criteria that apply to the Dutch side of the consortium and application. NWO will assess this part of the application against the conditions listed below. Your application will only be admitted to the evaluation procedure if it meets these conditions. After submitting your application, we request you to be available to implement any possible administrative corrections so that you can (still) meet the conditions for submission.

These conditions are:
- the main applicant and co-applicant(s) meet the conditions stated in Section 3.1;
- in case of co-funding: the co-funders satisfy the conditions stated in Section 3.1.3;
- the Dutch application budget is drawn up in accordance with the NWO conditions in the Dutch Annex of this Call for proposals;
- the letters of intent of cooperation partners in the Dutch part of consortia, the letters of support of in-kind co-funders (in the Dutch part of consortia, if applicable), and letters of guarantee of project supervision (in the Dutch part of consortia, if applicable) are, after a possible request to make additions or changes, complete and filled out according to the instructions.

3.4 Dutch conditions on granting

The NWO Grant Rules 2017 and the Agreement on the Payment of Costs for Scientific Research are applicable to the NWO part of the grant to the Dutch part of applications.

3.4.1 Compliance with the National Knowledge Security Guidelines

World-class science can benefit from international cooperation. The National Knowledge Security Guidelines (hereafter: the Guidelines) helps knowledge institutions to ensure that international cooperation can take place securely. Knowledge security concerns the undesirable transfer of sensitive knowledge and technology that compromises national security; the covert influence of state actors on education and research, which jeopardises academic freedom and social safety; and ethical issues that may arise in cooperation with countries that do not respect fundamental rights.

Applicants are responsible for ensuring that their project complies and will continue to comply with the Guidelines. By submitting an application, the applicant commits to the recommendations stipulated in these Guidelines. In the event of a suspected breach of the Guidelines in an application submitted to NWO for project funding, or in a project funded by NWO, NWO may ask the applicant to provide a risk assessment demonstrating that the recommendations in the Guidelines have been taken into consideration. If the applicant fails to comply with NWO’s request, or if the risk assessment is in apparent breach of the Guidelines, this may affect NWO’s grant award or decision-making process. NWO may also include further conditions in the award letter if appropriate.

The National Knowledge Security Guidelines can be found on the central government website at: Home | National Contact Point for Knowledge Security (loketkennisveiligheid.nl).

3.4.2 Scientific integrity

In accordance with the NWO Grant Rules 2017, the project that NWO funds must be carried out in accordance with the nationally and internationally accepted standards for scientific conduct as stated in the Netherlands Code of Conduct for Research Integrity (2018). By submitting the proposal, the applicant commits to this code. In the case of a (possible) violation of these standards during a project funded by NWO, the applicant should immediately inform NWO of this and should submit all relevant
3.4.3 Ethical statement or licence

The applicant is responsible for determining whether an ethical statement or licence is needed for the realisation of the proposed project. The applicant should ensure that this is obtained from the relevant institution or ethics committee on time. If the project is awarded funding, then the grant is issued under the condition that the necessary ethical statement or licence is obtained before the latest start date for the project. The project cannot start until NWO has received a copy of the ethical statement or licence.

3.4.4 Nagoya Protocol

The Nagoya Protocol ensures an honest and reasonable distribution of benefits emerging from the use of genetic resources (Access and Benefit Sharing; ABS). Researchers who make use of genetic sources from the Netherlands or abroad for their research should familiarise themselves with the Nagoya Protocol (www.absfocalpoint.nl). NWO assumes that researchers will take all necessary actions with respect to the Nagoya Protocol.

3.4.5 Co-funders

Co-funding is not compulsory within this call. However, co-funders may be included in the project proposal. A distinction is made between in cash co-funding, which serves to cover the budget for the project activities described in the proposal, and in kind co-funding, which can consist of the use of resources from the organisations involved. This Call for proposals only allows for in-kind co-funding. Conditions for co-funding are specified in Section 7.4.

Letter of support

In a letter of support, the co-funder expresses both substantive and financial support for the project and confirms the pledged co-funding. In the letter of support, the co-funder also states whether the support pledged originates from private sources. The letters of support from all co-funders are mandatory annexes to the full proposal. The letter of support must be signed by an authorised signatory of the co-funder and be printed on the co-funder’s headed paper. NWO will provide a mandatory template for the letter of support. Letters of support in which co-funding is pledged are without conditions and may not contain any resolutive provisions.

In the case of funding being awarded, the co-funder should state their contribution(s) in the project agreement (amongst other things for invoicing in the case of in cash co-funding). In this agreement, further agreements are also made between the co-funder(s) and the applicant(s) (see Section 5.1.4 and 7.4).

3.4.6 Letter of Intent of cooperation partners

Cooperation partners (see Section 3.1.2) provide a Letter of Intent with the full proposal in which they state what their reason is to act as a cooperation partner in the research and what their role within the project shall be. This is not a letter of support for co-funding, as cooperation partners do not contribute co-funding to the project. NWO will make a standard letter available on the funding page.
4 Notes on the evaluation procedure

The evaluation procedures are included in the joint Call for proposals. This chapter in the Dutch Annex clarifies certain notes applicable to the Dutch part of applications.

The NWO Code for Dealing with Personal Interests applies to all persons and NWO employees involved in the evaluation process of the joint Dutch-German proposals. The NWO Code for Dealing with Personal Interests applies also to all persons and NWO employees involved in NWO’s decision-making process (www.nwo.nl/en/code-dealing-personal-interests).

NWO strives to achieve an inclusive culture in which there is no place for conscious or unconscious barriers due to cultural, ethnic or religious background, gender, sexual orientation, health or age (www.nwo.nl/en/diversity-and-inclusion). NWO encourages referees and members of an assessment committee or jury to be actively aware of implicit associations and to try to minimise these. NWO will provide them with information about concrete ways of improving the assessment of an application.

4.1 The San Francisco Declaration (DORA)

NWO is a signatory to the San Francisco Declaration on Research Assessment (DORA). DORA is a worldwide initiative that aims to improve the way research and researchers are assessed. DORA contains recommendations for research funders, research institutions, scientific journals and other parties.

DORA aims to reduce the uncritical use of bibliometric indicators and obviate unconscious bias in the assessment of research and researchers. DORA’s overarching philosophy is that research should be evaluated on its own merits rather than on the basis of surrogate measures, such as the journal in which the research is published.

When assessing the scientific track record of applicants, NWO makes use of a broad definition of scientific output.

NWO requests committee members and referees not to rely on indicators such as the Journal Impact Factor or the h-index when assessing applications. Applicants should not mention these in their applications. You may, however, list other scientific products besides publications, such as datasets, patents, software and code, et cetera.

For more information on how NWO is implementing the principles of DORA, see www.nwo.nl/en/dora.
5 Obligations for NWO grant recipients

This chapter details the various obligations that - in addition to the conditions stated in Section 3.5 – apply to consortia after NWO funds have been awarded.

5.1 Content monitoring

NWO will assume responsibility for the substantive monitoring of the NWO part of proposals awarded funding. For the duration of this call, NWO will organise programme meetings in coordination with the German funding parties. All projects within this call theme will be invited to participate.

5.2 Accountability and project completion

Accountability during the project
During the project, the Dutch main applicant is responsible for reports on the project’s progress to NWO. With a view to monitoring project progress, NWO may request interim reports on a project’s content and finances, as well as an account of co-funding provided. More information about this will follow in the grant award letter.

Project closure
Upon completion of a project, final reports will be requested on both the content and finances of the project. The final amount of funding (and co-funding) will be determined after these final reports have been approved.

5.3 Data management

After a proposal has been awarded funding, the researcher should elaborate the data management section into a data management plan. For this, applicants can make use of the advice from the referees and committee. The applicant describes in the plan whether use will be made of existing data, whether new data will be collected or generated, and how the data will be made FAIR: Findable, Accessible, Interoperable, Reusable. Before submission, the data management plan should be checked by a data steward or similar officer of the organisation where the project will be realised. The plan should be submitted to NWO via ISAAC within 4 months after the proposal has been awarded funding. NWO will check the plan as quickly as possible. Approval of the data management plan by NWO is a condition for disbursement of the funding. The plan can be adjusted during the research.

More information about the data management protocol of NWO can be found at: Research data management | NWO.

5.4 Intellectual property and project agreement

With respect to intellectual property (IP), the NWO IP policy applies. This can be found in Chapter 4 of the NWO Grant Rules 2017.

Applicants must carry out a project funded by NWO during the time that they work for the knowledge institution. If an applicant or a researcher funded by NWO is appointed by more than one employer, then the other employer should relinquish any possible IP rights that emerge from the project of the applicant.

NWO’s ambition is that research results can be applied by partners involved in the project. NWO aims that all research results from projects it funds are made publicly accessible while at the same time encouraging parties to further develop the research results by giving them the possibility to exploit these. For the exploitation of results, it can be desirable to transfer intellectual property rights or to
license the use of these to (one of) the private parties involved in the project. The basic premise is that all research results can be published with due consideration for agreements made about publication procedures.

After a proposal has been awarded funding, the conclusion of a collaboration agreement is one of the conditions for starting the project. In this agreement, arrangements are made about intellectual property and publication, knowledge transfer, confidentiality, co-financing payments, progress reports, and final reports.

The responsibility for arranging the collaboration agreement lies with the applicant. The model agreement that NWO makes available may be used and can be found on the funding page of this Call for proposals. This model agreement has been drawn up in accordance with the NWO Grant Rules 2017. Parties can choose to use the standard text from NWO in the model agreement but they may also choose to make their own agreement or to apply existing agreements for the component parts of intellectual property and publication procedure. The model collaboration agreement provides for this.

5.5 Socially responsible licensing

The knowledge that emerges from the project could be suitable for use in society. When agreements about licensing and/or the transfer of research results developed under this Call for proposals are made, due consideration should be given to the ten principles for socially responsible licensing, as stated in the NFU factsheet “19.4511_Ten_principles_for_Socially_Responsible_Licensing_v19-12-2019.pdf (nfu.nl)”.

5.6 Open Access

As a signatory to the Berlin Declaration (2003) and a member of cOAlition S (2018), NWO is committed to making the results of the research it funds openly accessible via the internet (Open Access). By doing this, NWO gives substance to the ambitions of the Dutch government to make all publicly funded research available in Open Access form. Scientific publications arising from projects awarded on the basis of this Call for proposals must therefore be made available in Open Access form in accordance with the Open Access Policy.

Scientific articles

Scientific articles must be made available in Open Access form immediately at the time of publication (without embargo) via one of the following routes:

- publication in a fully Open Access journal or platform registered in the DOAJ;
- publication in a subscription journal and the immediate deposition of at least the author accepted manuscript of the article in an Open Access repository registered in Open DOAR;
- publication in a journal for which a transformative Open Access agreement exists between UNL and a publisher. For further information, see www.openaccess.nl/en.

Books


CC BY licence

To ensure the widest possible dissemination of publications, at least a Creative Commons (CC BY) licence must be applied. Alternatively – in case of substantial interest – the author may request to publish under a CC BY-ND licence. For books, book chapters and collected volumes, all CC BY licence options are allowed.
Costs
Costs for publication in fully Open Access journals can be budgeted in the application using the budget module for “material costs”. Costs for publications in hybrid journals are not eligible for reimbursement by NWO. For Open Access books, a separate NWO Open Access Books Fund is available.

For more detailed information about NWO’s Open Access policy, see www.nwo.nl/en/open-science.
6 Contact and other information

6.1 Contact

6.1.1 Specific questions
For specific questions about this Dutch Annex to this Call for proposals, please contact:
Renno Hokwerda MSc
Programme coordinator
Domain Sciences, Dutch Research Council
(+31) (0)6 23625822
r.hokwerda@nwo.nl and waddensea@nwo.nl (please contact both)

For questions about the German part of applications and projects, we refer to:
dr. Christian Stolle
Project Management Jülich
On behalf of BMBF and BMUV
c.stolle@pt-juelich.de

6.1.2 Technical questions about the web application ISAAC
For technical questions about the use of ISAAC, please contact the ISAAC helpdesk. Please read the manual first before consulting the helpdesk. The ISAAC helpdesk can be contacted Monday to Friday between 10:00 and 17:00 hours on +31 70 344 0600. However, you can also submit your question by email to isaac.helpdesk@nwo.nl. You will then receive an answer within two working days.

6.2 Other information
The whole text of this Call for proposals and Dutch Annex have only been published in English. In Germany, the call text and German conditions will be published in a Förderbekanntmachung by BMUV and BMBF in German.

NWO processes data from applicants received in the context of this call in accordance with the NWO Privacy Statement, https://www.nwo.nl/en/privacy-statement. NWO might approach applicants for an evaluation of the procedure and/or research programme.
Chapter 7 Further details and explanation / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

7 Further details and explanation

7.1 Budget modules

It is possible to apply for the funding of the salary costs of personnel who make a substantial contribution to the research. Funding of these salary costs depends on the type of appointment and the organisation where the personnel are/will be appointed.

- For university appointments, the salary costs are funded in accordance with the UNL salary tables applicable at the moment the grant is awarded (www.nwo.nl/en/salary-tables).
- For university medical centres, the salary costs are funded in accordance with the NFU salary tables applicable at the moment the grant is awarded (www.nwo.nl/en/salary-tables).
- For personnel from universities of applied sciences, educational institutions and other organisations, salary costs will be funded based on the collective labour agreement pay scale of the employee concerned in accordance with the applicable rate at the time of awarding the grant as taken from Table 2.2, column ‘Hourly rate productive hours, excl. Dutch VAT’ from the Handleiding Overheidstarieven [HOT - Manual Dutch Government Rates] (https://www.nwo.nl/en/salary-tables).
- For the Caribbean Netherlands, the Dutch government employs civil servants on Bonaire, Sint Eustatius and Saba under different conditions than in the European part of the Netherlands (www.rijksdienstcn.com/werken-bij-rijksdienst-caribisch-nederland/arbeidsvoorwaarden).

NWO will apply a mandatory one-off indexing of the salary costs with respect to:

- UNL rates: for proposals submitted before 1 July and that are awarded funding after 1 July;
- NFU rates: for proposals submitted before 1 August that are awarded funding after 1 August;
- HOT rates: for proposals submitted before 1 January that are awarded funding after 1 January.

The mandatory one-off indexing has no influence on the level of the grant ceiling or on the maximum amount of the grant awarded per proposal. The level of the grant ceiling and the maximum amount of the grant awarded will remain unchanged during the assessment procedure. The mandatory one-off indexing will be applied after the decision-taking about awarding or rejecting proposals has been completed.

If co-funding is required or permitted then the one-off mandatory indexing will have no consequences for the co-funding requirement or the IP rights that can emerge from the co-funding.

The rates for all budget modules are incorporated in the budget template that accompanies the application form. For the budget modules “PhD student”, “PDEng” and “Postdoc”, a one-off individual bench fee of €5,000 is added on top of the salary costs to encourage the scientific career of the project employee funded by NWO. Remunerations for PhD scholarship students (‘bursalen’) at a Dutch university are not eligible for funding from NWO.

The available budget modules are explained below.

PhD student (including MD-PhD student)

A PhD student is appointed for 1.0 FTE for a duration of 48 months. The equivalent of 48 full-time months, for example an appointment of 60 months for 0.8 FTE, is also possible. If a different duration of appointment is considered necessary for the realisation of the proposed research, then the standard time can be deviated from as long as this is properly justified. However, the duration of appointment must always be at least 48 months.

15 1 July, 1 August and 1 January are the dates on which the relevant rates are generally adjusted, in the case of indexation the date of actual annual adjustment will be taken into account.
Chapter 7 Further details and explanation / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

In line with the NWO strategy, Industrial and Societal Doctorates are included in this category under this call. Conditions regarding Industrial and Societal Doctorates are explained in Section 7.2.

Engineering Doctorate degree (PDEng)

Funding for the appointment of a PDEng can only be applied for if funding for a PhD student or postdoc is also applied for.

The appointment for a PDEng position is a maximum of 1.0 FTE for 24 months. The PDEng trainee is employed by the institution applying for funding and can realise activities that are part of the research at an industrial partner for a specified time. If the research proposal is awarded funding, then an agreement must be concluded with the industrial partner(s) concerned. The underlying “Technological Designer Programme” must be described in the proposal.

Postdoc

The size and duration of the postdoc appointment is at least 6 full months and at most 48 full-time months. The size and duration of the appointment is at the applicant’s discretion, but the appointment is always for at least 0.5 FTE or for a duration of at least 12 months. The product of FTE x duration of appointment should always be a minimum of 6 full-time months.

The material budget is available to cover the costs of a more limited appointment of a postdoc.

Non-scientific staff (NSS) at universities

Funding for the appointment of NSS required to realise the research project can only be applied for if funding for a PhD student or postdoc is also applied for. A maximum of €100,000 per PhD student of postdoc can be requested for NSS, up to a maximum of €300,000. This includes personnel such as student assistants, programmers, technical assistants or analysts. Depending on the level of the position, the appropriate salary table for NSS at MBO, HBO or university level applies.

The size of the appointment is at least 6 full-time months and at most 48 full-time months. The size and duration of the appointment is at the applicant’s discretion, but the appointment is always for at least 0.5 FTE or for a duration of at least 12 months. The product of FTE x duration of appointment should always be a minimum of 6 full-time months.

The material budget is available to cover the costs of a more limited appointment of NSS.

Other Scientific personnel (OSS) at universities

Budget for OSS such as AIOS (doctor training to be a specialist), ANIOS (doctor not training to be a specialist), scientific programmers or employees with a master’s degree can only be applied for if funding for a PhD student or postdoc is also applied for. For this category, a maximum of €100,000 can be applied for.

The size of the appointment is at least 6 full-time months and at most 48 full-time months. The size and duration of the appointment is at the applicant’s discretion, but the appointment is always for at least 0.5 FTE or for a duration of at least 12 months. The product of FTE x duration of appointment should always be a minimum of 6 full-time months.

Research leave for applicants

With this budget module, funding can be requested for the costs of the research leave of the main and/or co-applicant(s). The employer of the applicant(s) can use this to cover the costs of relinquishing him or her from educational, supervisory, administrative or management tasks (not research tasks). The time that is released through the research leave grant can only be used by the applicant(s) for activities in the context of the project. The proposal must describe which activities in the context of the project the applicant(s) will carry out in the time relinquished.
For each budget item, the maximum amount of research leave that can be applied for is the equivalent of 5 full-time months. The total funding requested for research leave in the NWA-call may not exceed 10% of the total budget requested from NWO. NWO funds the research leave in accordance with the salary tables for a senior scientific employee (scale 11.0) at the time the grant is awarded (www.nwo.nl/en/salary-tables).

Personnel universities of applied sciences, educational institutions and other organisations

With the exception of personnel that fall under UNL or NFU rates, costs for the funding of personnel employed at a university of applied sciences, educational institution or at other organisations will be remunerated in accordance with Table 2.2, column ‘Hourly rate productive hours, excl. Dutch VAT’ from the *Handleiding Overheidstarieven* [HOT- Manual Dutch Government Rates] (www.nwo.nl/en/salary-tables).

For the calculation you should use the number of productive hours stated in the valid volume of the *Handleiding Overheidstarieven*.

Explanation of budget module Material

For each FTE scientific position (PhD student, postdoc, PDEng) applied for, a maximum of €15,000 material budget can be applied for per year of the appointment. Material budget for smaller appointments can be applied for on a proportionate basis and will be made available by NWO accordingly. Per 0.2 FTE scientific employee applied for at a university of applied sciences, educational institution or other organisation (with a minimum appointment of 0.2 FTE for 12 months) a maximum of €15,000 in material budget can be applied for per year.

The applicant is responsible for distributing the total amount of material budget across the NWO-funded personnel positions. The material budget that can be applied for is specified according to the three categories below:

*Project-related goods/services*
- consumables (e.g. glassware, chemicals, cryogenic fluids, etc.)
- measurement and calculation time (e.g. access to supercomputer, etc.)
- costs for acquiring or using data collections (e.g. from Statistics Netherlands [CBS]), for which the total amount may not be more than €25,000 per proposal
- access to large national and international facilities (e.g. cleanroom, synchrotron, etc.)
- work by third parties (e.g. laboratory analyses, data collection, citizen science, etc.)
- personnel costs for the appointment of a postdoc and/or non-scientific personnel for a smaller appointment size than those offered in the personnel budget modules

*Travel and accommodation costs for the personnel positions applied for*
- travel and accommodation costs
- conference attendance (maximum of two per year per scientific position applied for)
- fieldwork
- work visit

*Implementation costs*
- national symposium/conference/workshop organised by the project researchers
- costs for Open Access publishing (solely in full gold Open Access journals, registered in the “Directory of Open Access Journals” [https://doaj.org/]
- costs data management
- costs involved in applying for licences (e.g. for animal experiments)
- audit costs (only for institutions that are not subject to the education accountants protocol of the Ministry of Education, Culture and Science), maximum €5,000 per proposal; for projects with a duration of three years or less, a maximum of €2,500 per proposal applies
Chapter 7 Further details and explanation / NWA: Understanding complex pressures on the Wadden Sea and options for action / Dutch Annex to the joint Call for proposals

Costs that cannot be applied for are:
- basic facilities within the institution (e.g. laptops, office furniture, etc.)
- maintenance and insurance costs

If the maximum amount is not sufficient for realising the research, then this amount may be deviated from, if a clear justification is provided in the proposal.

Citizen science
Involving citizens (citizen science) can contribute to the quality of the research. With the help of citizens, data and insights can be acquired that would not otherwise be available for research. NWO also funds citizen science. Applicants can use the budget module “material, project-related goods/services, work by third parties” to request a remuneration for the involvement of citizens in projects. The budget module offers a possibility and is not a requirement. Applicants are free to decide whether it is worthwhile involving citizens in the project and what exactly they use this budget for (for example, reimbursement of expenses of citizens, skills training for citizens or technical devices for the participating citizen).

Explanation of budget module Investments (up to €150,000)
In this budget module, funding can be requested up to a maximum of €150,000 for investments in equipment, datasets and/or software (e.g. lasers, specialised computers or computer programs).

Explanation of budget module Knowledge utilisation
The aim of this budget module is to facilitate the use of the knowledge that emerges from the research\textsuperscript{16}. For phase I, at least 5% but no more than 20% of the total budget applied for should be spent on knowledge utilisation activities via this budget module. For phase II, at least 5% but no more than 30% of the total budget applied for should be spent on knowledge utilisation activities via this budget module.

Knowledge utilisation takes many different forms in different scientific fields. Examples include producing a teaching package, conducting a feasibility study into potential applications, filing a patent application, or employing a business developer.

It is up to the consortium to specify in the proposal which costs are required.

In the context of the Impact Plan, consortia are expected to earmark budget for the following activities:
- Specific activities to promote knowledge utilisation towards intermediary or other parties not funded in the projects, e.g. knowledge platforms. These activities include joint learning, training and communication activities.
- Stakeholder engagement: activities organised by the consortium aimed at involving stakeholders, such as consultation workshops, expert meetings, round table meetings, etc. Communication: activities organised by the consortium such as national and international learning events, development of videos, blogs, newsletters and other media communications. This may include the hiring in of communication expertise.
- Skills development: Activities aimed at developing skills beyond the levels of individual students, PhD researchers or postdocs, such as developing courses for stakeholders or Master’s students.
- Monitoring and evaluation moments in which knowledge utilisation is discussed, such as interim evaluations and supervisory committee meetings (see Section 5.1.1).

\textsuperscript{16} In this budget module, the definition for “knowledge transfer” as used by the European Commission in the Framework for State aid for research and development and innovation (PbEU, 2014, C198) applies.
Travel expenses for co-funding partners are explicitly not eligible for funding in this module. However, travel expenses for cooperation partners and external parties in the social practice of the project are eligible. The budget applied for should be adequately specified in the proposal.

**Explanation of budget module Internationalisation**

The budget for internationalisation is intended to encourage international collaboration. The budget applied for may not exceed €25,000. The amount requested must be specified. If the maximum amount is not sufficient for realising the research, then it may be deviated from if an adequate justification is provided in the proposal.

Funding can be requested for:
- travel and accommodation costs in so far as these concern direct research costs emerging from the international collaboration and additional costs for internationalisation that cannot be covered in another manner, for example from the bench fee;
- travel and accommodation costs for foreign guest researchers;
- costs for organising international workshops/symposia/scientific meetings.

**Explanation of the module Project management**

The Project management module offers the opportunity to request a project management post up to a maximum of 5% of the total budget of phase I proposals requested from NWO. For phase II, a maximum of €100,000 can be requested from NWO in this module. The main applicant must adequately justify this post.

Project management includes the following: optimising the organisational structure of the consortium, supporting the consortium and the main applicant, safeguarding the coherence, progress and unity of the project, and coordinating between the sub-projects within the project. These tasks may also be carried out by external parties if they are not available within the main applicant’s knowledge institution. Knowledge institutions should take account of public procurement rules in the tender procedure for selecting a third party and, where appropriate, follow a European procurement procedure. The activities of main applicants and co-applicants themselves in relation to the project or project management may not be funded under this budget module.

The budget to be requested for project management can consist of material or implementation costs and personnel costs. For personnel costs, a maximum rate of €121 per hour can be claimed. The hourly rate of personnel to be appointed must be based on a cost-covering rate and is calculated on the basis of the standard productive number of hours used by the organisation. The cost-covering rate includes:
- (average) gross salary corresponding to the position of the employee who will contribute to the project (based on the collective labour agreement grade of the employee concerned);
- holiday allowance and 13th month (if applicable in the relevant collective labour agreement) in proportion to the FTE deployed;
- social security charges;
- pension costs;
- overheads.

Project management tasks may be carried out by external parties, but the part of (commercial) hourly rates that exceeds the rates stated is not eligible for funding and therefore cannot be included in the budget.
7.2 Explanation of conditions regarding Industrial and Societal Doctorates

Industrial and Societal doctorates are understood to be PhD students who will do their research at both the knowledge institution and an organisation that is not a (co-)applicant. If an organisation and the knowledge institution closely collaborate, then this increases the chance that the knowledge will actually find its way into everyday practice. The research should be an integral part of the project. In the case that an Industrial or Societal Doctorate is appointed, the private or public organisation which is involved in the doctorate should assume responsibility for at least 25% of the salary costs. This contribution may be part of the minimum required co-funding and in that case should always be in cash.

The intended PhD student may be employed by the knowledge institution or the organisation. The activities realised by the PhD student must always fall under fundamental or industrial research. The salary costs of the PhD student are always remunerated in accordance with the valid UNL rate. NWO will fund a maximum of 75% of this amount and at least 25% of the amount must be contributed by the organisation that is not a (co-)applicant. Any additional salary costs – due to an actual salary that is above the UNL rate – should be covered by the employer and may be contributed to the project in the form of in-kind funding. For the calculation of a surplus, the employer costs minus the UNL rates for an appointment of the same size is assumed. The support/grant may not be transferred to the organisation that is not a (co-)applicant.

If an industrial doctorate or societal doctorate PhD position is applied for, then the parties should make agreements about possible IP rights that are generated by the PhD student concerned. With this, allowance should be made for possible access to the research results by other project participants, under FRAND (fair, reasonable and non-discriminatory) conditions or otherwise. The NWO grant is only awarded to the knowledge institution for the purpose of the PhD research project. In this context, it is relevant to state that in accordance with the application of the NWO Grant Rules 2017, all research results should be published as soon as possible in Open Access form and accordingly serve the public interest. Furthermore, all other provisions from Section 3.5, such as Consortium agreement and Intellectual Property & Publications, apply.

7.3 Public Knowledge Organisations

The public knowledge organisations listed below may act as co-applicants in a consortium. The check mentioned in Section 3.1.1 is not required for these organisations.

National knowledge institutes (https://www.knmi.nl/kennis-en-datacentrum/project/rki):
1. CBS – Centraal Bureau voor de Statistiek (Statistics Netherlands)
2. CPB – Centraal Planbureau (Netherlands Bureau for Economic Policy Analysis)
3. KiM – Kennisinstituut voor Mobiliteitsbeleid (Netherlands Institute for Transport Policy Analysis)
4. KNMI – Koninklijk Nederlands Meteorologisch Instituut (Royal Netherlands Meteorological Institute)
5. NFI – Nederlands Forensisch Instituut (Netherlands Forensic Institute)
6. PBL – Planbureau voor de Leefomgeving (Netherlands Environmental Assessment Agency)
7. RCE – Rijksdienst voor het Cultureel Erfgoed (Cultural Heritage Agency of the Netherlands)
8. RIVM – Rijksinstituut voor Volksgezondheid en Milieu (National Institute for Public Health and the Environment)
9. RKD – Nederlands Instituut voor Kunstgeschiedenis (Netherlands Institute for Art History)
10. RWS – Rijkswaterstaat (Directorate-General for Public Works and Water Management)
11. SCP – Sociaal en Cultureel Planbureau (Netherlands Institute for Social Research)
12. WODC – Wetenschappelijk Onderzoek- en Documentatiecentrum (Research and Documentation Centre)
Other public knowledge institutions (from: https://www.rathenau.nl/en/kennisgedreven-democratie/public-knowledge-organisations-netherlands)

13. Boekman Foundation – Institute for arts, culture and related policy
14. Clingendael – Netherlands Institute of International Relations
15. Geonovum – Knowledge organisation for geographic information
16. Movisie – Centre for social issues
17. Mulier Institute – Centre for sports research
18. (N) IFV – (Netherlands) Institute for Safety
19. NIVEL – Netherlands Institute for Health Services Research
20. NJi – Nederlands Jeugdinstuut (Netherlands Youth Institute)
21. Police Academy – Training, knowledge and research for the Dutch National Police
22. SWOON-NLDA – Stichting Wetenschappelijk Onderwijs en Onderzoek Nederlandse Defensieacademie (foundation for scientific education and research of the Netherlands Defence Academy)
23. SWOV – Stichting Wetenschappelijk Onderzoek Verkeersveiligheid (Institute for Road Safety Research)
24. Trimbos Institute – Institute for mental health, drug abuse and addiction
25. VeiligheidNL – Organisation to promote safe behaviour
26. Vilans – Research into long-term care

7.4 Conditions for co-funding

In-cash co-funding
In-cash co-funding is not possible in this Call for proposals.

The following are permitted as in-kind co-funding:
The use of personnel and material contributions is permitted on the condition that these are capitalised and are fully part of the project. Services and know how may not already exist or be available to the applicant. In-kind contributions are only accepted under the condition that the part contributed by the co-funder is an integral part of the work plan and can be made visible as an identifiable effort.

Determining the value of in-kind co-funding

- The use of personnel is valued on the basis of hours x rate, whereby the hourly rate is based on the actual salary costs (incl. a premium for social benefits and employer costs). Furthermore, 1400 hours is taken as the standard number of productive hours per year for the calculation of the hourly rate. This hourly rate may be no more than 119 euros per hour;
- The value for material in-kind contributions is determined on the basis of the cost price of consumables. The value of investments/equipment is determined based on standard depreciation costs bearing in mind the intensity of use and any existing depreciations according to applicable reporting principles;
- For in-kind contributions in the form of services or know-how (knowledge, software, access to databases or cell lines) the economic value must be established and only the actual costs that can be directly attributed to the project may be counted as co-funding. This is always without a profit margin. Furthermore, the service or know-how must not already be present at or available to the applicant.

Co-funders should justify the structure and size of the in-kind contributions provided (including hourly rates) in the letter of support. NWO can request substantiation and documented evidence of the rates used and can also request their adjustment.
The following may not be contributed as co-funding (both in cash and in kind):

- funding awarded by NWO;\(^\text{17}\)
- PPP allowance;
- co-funding may not come from parties that on the basis of this call for proposals can submit a funding proposal to NWO
- discounts on commercial rates, e.g. on materials, equipment and services;
- costs related to overheads, supervision, consultancy and/or participation in the supervisory committee (see Section 5.1.1);
- costs of services that are conditional. The co-funding provided may not be subject to any conditions. The provision of the co-funding does not depend on whether a certain stage in the research plan is achieved (e.g. go/no-go moment);
- costs that are not reimbursed according to the call for proposals;
- costs of equipment if one of the (main) objectives of the proposal is the improvement or creation of added value for this equipment.

**Accounting for in-kind co-funding**

The main applicant reports to NWO about the in-kind co-funding that he or she has received from a co-funder. The main applicant provides accountability in accordance with the NWO Grant Rules 2017 on an annual basis. If a co-funder fails to partly or entirely fulfil its obligations to the main applicant and/or NWO, then this can have consequences for the grant settlement (see Article 3.4.5 of the NWO Grant Rules 2017).

---

\(^{17}\) Funding awarded by NWO is understood to be funding obtained through a proposal submitted to NWO that is granted funding. In this regard it does not matter from which programme this funding was obtained or who the recipient of the funding is.