Intellectual Property &

Publication* arrangements

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NWO Domain AES takes the lead in making Intellectual Property and Publication* arrangements (AES IP&P arrangements').

 Part 3 of the Users' Committee Rules (Appendix in call for proposals) on 'Intellectual Property' is applicable.

Access to foreground IP rights for private party or parties / consortium:

- 0 10% private contribution private party or parties.
- private party/parties have no automatic right.
- 11-30% private contribution private party or parties: private party.
- o parties or consortia have right of option.
- 31-50% private contribution private party or parties:
- Non-exclusive non-transferable commercial licence + right of option to exclusive right.
 Contribution towards patent costs can be required.
- Private parties can combine their contributions so as to a chieve a more favourable ranking.
- Confidential information remains confidential.
- Results can always be published but publication may be suspended for a maximum of 9 months in connection with the protection (patent) of the result.
- Agreements must be confirmed in writing within six months of the project being a warded.

Main applicant / project leader takes the lead in making Intellectual Property and Publication* arrangements ('Own IP&P arrangements')

- 'Own IP&P arrangements' fulfil the following criteria:
 - I. All necessary foreground information (IP ensuing from the NWO domain AES project) and insofar as legally possible background information (already existing IP from company and/or knowledge institution) is available for the execution of the project.
 - The agreement is aimed at the application of allocation of the results by the way of publication.
 - III. Publication of scientific knowledge from the project will not be obstructed by users, but the beneficiary and users may determine the publication schedule.
 - IV. Any results generated from the project by the beneficiary remain available for the beneficiary for educational and research purposes.

On submission: The knowledge institution(s) and all users agree that the provisions under 'Own IP&P arrangements' will apply to the NOW domain AES project and declare that they do or will satisfy the criteria from i to iv above.

After award: all beneficiaries and all users approve the agreement in writing.

- NWO domain AES will receive from the project leader, no later than three (3) months after awarding the project:
 - The signed agreement in which IP&P arrangements are made with the user(s).
 - II. A signed IP&P statement in which the beneficiary declares that the agreement relating to the IP&P arrangements with the user(s) satisfies all the predetermined criteria.
- NWO-domein AES reviews the agreement against the pre-determined criteria; if NWO AES approves the agreement, NWO domain AES informs the project leader that the project can be started.

Further information on the option can be found in chapter 4 of the NWO Grant Rules and in the relevant/underlying 'Guidelines for financing applications'.

*NB: All scientific publications resulting from research that is funded by grants derived from this Callfor proposals are to be immediately (at the time of publication) freely accessible worldwide (Open Access). There are several ways for researchers to publish Open Access. A detailed explanation regarding Open Access can be found on www.nwo.nl/openscience-en