SSH Open Competition XS pilot

Call for proposals
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Chapter 1: Introduction / SSH Open Competition XS pilot

1 Introduction

In this Call for proposals, information is provided about the application procedure for the funding programme “SSH Open Competition XS pilot”. This Call for proposals falls under the responsibility of the Dutch Research Council (NWO).

In this Call for proposals, you will find information about the aim of this programme (Chapter 2), the conditions for the grant application (Chapter 3) and how your proposal will be assessed (Chapter 4). This is the information you need to submit a grant application. Chapter 5 states the obligations for grant recipients in the event you are awarded funding, Chapter 6 contains the contact details and Chapter 7 the annexes.

1.1 Background

The Dutch Research Council (NWO) promotes excellent, blue-skies, monodisciplinary, interdisciplinary, and multidisciplinary research. In this connection, NWO focuses on all scientific disciplines and on the entire knowledge chain, with an emphasis on fundamental research. Fundamental scientific research underpins our understanding of the world around us, our understanding of our human past and that of the natural world, as well as major new discoveries. Blue-skies fundamental research is needed to deliver the innovations that make society economically successful and socially resilient. In turn, questions posed by society can trigger new fundamental questions.

This Call for proposals contains details about how to submit research proposals for curiosity-driven, fundamental research in the research fields covered by the NWO Domain Social Sciences and Humanities (SSH). This grant programme is open to research proposals that involve monodisciplinary, multidisciplinary, or interdisciplinary research.

NWO SSH has three different funding programmes in the Open Competition. There are small-scale grants, large-scale grants, and grants for ideas that hold great promise. This pilot Call for proposals describes four rounds of the grants for ideas that hold great promise (SSH-XS). Researchers with a promising idea who obtained their PhD five to ten years ago and who has an appointment that spans the entire term of the proposed project can apply.

SSH-XS grants are available for projects with a maximum budget of € 50,000. The budget can be spent at the researcher’s discretion. All of the conditions pertaining to the submission of research proposals are set out in Section 3.

The assessment procedure for SSH-XS differs from standard NWO procedures. The researchers who submit research proposals in SSH-XS also act as assessors for other research proposals that have been submitted. This short-duration assessment procedure was designed to assess research proposals for limited-duration projects involving a smaller budget. The assessment procedure is described in detail in Section 4. During the pilot, NWO will monitor and evaluate the Open Competition SSH-XS.

1.2 Available budget

The available budget for this Call for proposals is € 12,000,000. This amount is divided into four equal parts, each of which is allocated to a different round of proposals. Each round has its own deadline, which is specified in Section 1.3. Proposals are assessed per round. The budget allocated to each package (€ 3,000,000) is divided into three panels and two groups of proposals, Group A and Group B. For details of this allocation system, see Section 4.1.
1.3 Submission deadlines

Four deadlines for submitting proposals are specified over the period of one year:

<table>
<thead>
<tr>
<th>Round</th>
<th>Deadline</th>
<th>Decision anticipated by</th>
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<tbody>
<tr>
<td>1</td>
<td>8 September 2022, at 14:00:00 CEST</td>
<td>14 November 2022</td>
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<td>15 November 2022, at 14:00:00 CET</td>
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<td>4</td>
<td>20 April 2023, at 14:00:00 CEST</td>
<td>3 July 2023</td>
</tr>
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When you submit your application in ISAAC, you will also need to enter some details online. Therefore, please start submitting your application at least one day before the deadline of this Call for proposals. Applications that are submitted after the deadline will not be taken into consideration.
2 Aim of the programme

The SSH-XS grants are intended to support promising ideas, innovative and more speculative initiatives and to explore high-risk, high-gain research or the possibility to develop an own, innovative line of research.

The SSH-XS pilot Call for proposals is specifically intended to encourage curiosity-driven and bold research that involves the relatively rapid exploration of a promising idea. The proposed research is ground-breaking, and it is not certain in advance whether the intended objectives will be achieved. What counts is that the result of each project contributes to the advancement of science. New knowledge and insights from scientific research can make an important contribution to solutions for the societal challenges of today and tomorrow, such as the energy transition, manageability of care, or climate change.

Due to the emphasis on high-risk research, NWO will devote extra attention to the publication of the results of all XS projects; not only those that open up potential new lines of research, but expressly also those that do not achieve the expected results.
3 Conditions for applicants

This chapter contains the conditions that are applicable to your grant application. Firstly it describes who can apply for funding (Section 3.1) and what you can request funding for (Section 3.2). Subsequently, you will find the conditions for preparing and submitting the application (Sections 3.3 and 3.4) and the specific funding conditions (Section 3.5).

3.1 Who can apply

Researchers who obtained their PhD between five and ten years ago\(^\text{1}\) may submit an application if they have a tenured position (and therefore a paid position for an indefinite period), a tenure track agreement or have a temporary appointment that spans the entire term of the proposed project at one of the following organisations:

- universities located in the Kingdom of the Netherlands;
- university medical centres;
- institutes affiliated to the Royal Netherlands Academy of Arts and Sciences (KNAW) or NWO;
- Netherlands Cancer Institute;
- the Max Planck Institute for Psycholinguistics in Nijmegen;
- Naturalis Biodiversity Center;
- Advanced Research Centre for NanoLithography (ARCNL);
- Princess Máxima Center.

Persons with a zero-hour employment agreement may not submit a proposal.

Extra conditions:
- an SSH-XS proposal has a single applicant and no co-applicants;
- applicants may only submit once in an SSH-XS round during the duration of the pilot between September 2022 and July 2023.

3.2 What can be applied for

For an application in this Call for proposals a maximum of €50,000 can be applied for. There are few restrictions on what can be applied for. For instance, the appointment of temporary staff, research leave, material costs, the costs involved in performing ‘citizen science’, and travel expenses for commuting to other locations to conduct experiments.

Applicants are required to draw up a realistic budget and explain why the spending choices are relevant to the project. This explanation must cover all of the personnel requested.

Only funding that is vital to realise the project should be applied for. A more detailed explanation of the budget modules can be found in the annexe to this Call for proposals (Section 7.1).

3.3 Preparing an application

The steps involved in writing your application are:
- download the application form from the NWO web application ISAAC or from the NWO web page (on the grant page of the funding instrument concerned);
- complete the application form;
- save the application form in ISAAC as a PDF file and upload it with any compulsory annexes;
- fill in the requested information online in ISAAC.

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\(^\text{1}\) The submission deadline for the round is the reference date of the promotion date. So for round 1, the researcher must have obtained the PhD at least five years and at most ten years ago on 8 September 2022, i.e. the applicant must have obtained the PhD between 9 September 2012 and 7 September 2017.
Compulsory annexes: budget form, information form

If NWO has made a template available, the annex should be drawn up according to the NWO template. Annexes must be uploaded in ISAAC separately from the application. All of the annexes, except for the budget, must be submitted as PDF files (without encryption). The budget must be submitted in ISAAC as an Excel file. Any annexes other than those above-mentioned are not permitted.

You must write your application in English.

An application can only be submitted via the web application ISAAC. Applications that are not submitted via ISAAC will not be taken into consideration.

As the main applicant, you are required to submit the application via your own personal ISAAC account.

It is important to start with your application in ISAAC on time:

- if you do not yet have an ISAAC account, then you should create this on time to prevent any possible registration problems;
- any new organisations must also be added to ISAAC by NWO;
- you also need to submit other details online.

Applications submitted after the deadline will not be taken into consideration by NWO.

For technical questions, please contact the ISAAC helpdesk, see Contact (Chapter 6).

Applicants must inform the organisation where they work about the submission of the application, and the organisation must accept the granting conditions of this Call for proposals.

### 3.4 Conditions for submission

#### 3.4.1 Formal conditions for submission

NWO will assess your application against the conditions listed below. Your application will only be admitted to the assessment procedure if it meets these conditions. After submitting your application, NWO requests you to be available to implement any possible administrative corrections so that you can (still) meet the conditions for submission.

These conditions are:

- the main applicant meets the conditions stated in Section 3.1;
- the application form is, after a possible request to make additions or changes, complete and filled out according to the instructions;
- the application is submitted via the main applicant’s ISAAC account;
- the application is received at or before the deadline set;
- the application is written in English;
- the proposal is **anonymous**, meaning that the proposal meets the following conditions:
  - applicants should include neither their own name nor those of any individuals in their research group;
  - applicants should not include details of any affiliations with institutes or knowledge institutions;
  - applicants should not include references to any publications that they have authored or co-authored;
  - applicants should not include the names of any partners (or potential partners);
- the application budget is drawn up in accordance with the conditions for this Call for proposals and uses the most recent version of the budget form;
- the requested budget and justification in the proposal matches the budget in the budget form;
- the proposed project has a duration of twelve months;
- all of the required annexes are, after a possible request to make additions or changes, complete and filled out according to the instructions.
When submitting their proposal, applicants agree to assess proposals submitted by other applicants within the same round. If an applicant misses the deadline for submitting assessment reports, then that applicant’s own proposal will not be included in the procedure. See Section 4.1 for details of the procedure.

Applicants should be aware that they will be required to assess proposals from the panel in which they submitted the application. Therefore, the own proposal must be written with a wide audience in mind.

The broad SSH field is divided into three panels for this Call for proposals, each a combination of two of the usual NWO SSH panels, namely:

- Panel I: Behaviour and Education + Economics and Business Administration
- Panel II: Law and Public Administration + Social Sciences
- Panel III: Humanities: Cultural Sciences and Linguistics + Philosophy, Historical Sciences and Religious Studies

The NWO SSH main disciplines and associated (sub)disciplines are listed in Annex 7.2.

3.5 Conditions on granting

The Agreement on the Payment of Costs for Scientific Research applies to all applications2.

3.5.1 Data management

The results of scientific research must be replicable, verifiable and falsifiable. In the digital age, this means that, in addition to publications, research data must also be publicly accessible insofar as this is possible. NWO expects that research data resulting from NWO-funded projects will be made publicly available, as much as possible, for reuse by other researchers. “As open as possible, as closed as necessary” is the applicable principle in this respect. Researchers are at least expected to make the data and/or non-numerical results that underlie the conclusions of work published within the project publicly available at the same time as the work’s publication. Any costs incurred for this can be included in the project budget. Researchers should explain how data emerging from the project will be dealt with based on the data management section in the proposal and the data management plan that is drawn up after funding is awarded.

3.5.2 Scientific integrity

In accordance with the NWO Grant Rules 2017, the project that NWO funds must be carried out in accordance with the nationally and internationally accepted standards for scientific conduct as stated in the Netherlands Code of Conduct for Research Integrity (2018). By submitting the proposal, the applicant commits to this code. In the case of a (possible) violation of these standards during a project funded by NWO, the applicant should immediately inform NWO of this and should submit all relevant documents to NWO. More information about the code of conduct and the policy regarding research integrity can be found on the website: www.nwo.nl/en/scientific-integrity.

3.5.3 Ethical statement or licence

The applicant is responsible for determining whether an ethical statement or licence is needed for the realisation of the proposed project. The applicant should ensure that this is obtained from the relevant institution or ethics committee on time. If the project is awarded funding, then the grant is issued under the condition that the necessary ethical statement or licence is obtained before the latest start date for the project. The project cannot start until NWO has received a copy of the ethical statement or licence.

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2 If granted, the UNL rates or NFU rates applicable at the time of the deadline of the round in which the application was submitted will be used. The minimum requirements with regard to the duration of the appointment do not apply in this Call for proposals. The bench fee and a final financial statement also do not apply.
3.5.4 Nagoya Protocol

The Nagoya Protocol ensures an honest and reasonable distribution of benefits emerging from the use of genetic resources (Access and Benefit Sharing; ABS). Researchers who make use of genetic sources from the Netherlands or abroad for their research should familiarise themselves with the Nagoya Protocol (www.absfocalpoint.nl). NWO assumes that researchers will take all necessary actions with respect to the Nagoya Protocol.

3.5.5 Start and implementation of the project

An XS project has a maximum duration of twelve months and the research must start within six months of the date of the grant award decision. If the project has not started within six months of the decision date, the decision-making body may decide to withdraw the grant. Extension of the duration of the project is only allowed in exceptional cases.

3.5.6 Share results

NWO considers it important that the results of all XS-projects are shared; also the results of projects that do not show the expected results. NWO publishes a public summary of each XS project on the website. At the end of an XS project, the applicant submits a short, substantive final report to NWO.
# 4 Assessment procedure

This chapter first of all describes the course of the assessment procedure (Section 4.1). It then states the assessment aspects that apply to your application (Section 4.2).

The NWO Code for Dealing with Personal Interests applies to all persons and NWO employees involved in the assessment and/or decision-taking process (www.nwo.nl/en/code-dealing-personal-interests).

NWO strives to achieve an inclusive culture in which there is no place for conscious or unconscious barriers due to cultural, ethnic or religious background, gender, sexual orientation, health or age (www.nwo.nl/en/diversity-and-inclusion). NWO encourages referees and members of an assessment committee or jury to be actively aware of implicit associations and to try to minimise these. NWO will provide them with information about concrete ways of improving the assessment of an application.

## 4.1 Procedure

The application procedure consists of the following steps:

− Submission of the proposal
− Consideration of the proposal
− Suitability
− Separation of proposals in packages and groups
− Assessment by the applicants themselves
− Decision-taking and tied ranking

### 4.1.1 Submission of a proposal

For the submission of the proposal, a standard form is available on the funding page of this Call for proposals on the NWO website. When you write your proposal, you must adhere to the questions stated on this form and the procedure given in the explanatory notes. You must also adhere to the conditions for the maximum number of words and pages.

Your complete application form must have been received before the deadline via ISAAC (see Section 1.3). After this deadline, you can no longer submit a proposal. After submitting the proposal, the applicant will receive a confirmation of receipt.

### 4.1.2 Consideration of the proposal

As soon as possible after you have submitted your proposal, you will hear from NWO whether or not your proposal will be taken into consideration. NWO will determine this based on several administrative-technical criteria (see the formal conditions for submission, Section 3.4). NWO can only take your proposal into consideration if it meets these conditions.

Please bear in mind that within two weeks after the submission deadline, NWO may approach you with any possible administrative corrections that need to be made so that your proposal can (still) meet the conditions for submission. You will be given one opportunity to make the corrections, and you will be given five working days to do this.

### 4.1.3 Suitability

The NWO SSH office will assess the proposals that are being considered, to determine whether they are in keeping with one of the NWO SSH main disciplines, as specified on the information form. If the office sees fit, external experts can be asked to assess a proposal’s suitability in this regard. Proposals are deemed suitable if the research proposal’s essence is encompassed by one or more of the NWO SSH (sub)disciplines. The NWO SSH main disciplines and associated (sub)disciplines are listed in Annexe 7.2.
Chapter 4: Assessment procedure / SSH Open Competition XS pilot

Any proposals that, in the office’s view (whether or not external experts were consulted), are not deemed to be in keeping with one or more of the NWO SSH (sub)disciplines will be rejected. Accordingly, they will not proceed to the next phase of the assessment process.

4.1.4 Separation of proposals in packages and groups

Four submission deadlines are specified over the period of one year (see Section 1.3). All proposals submitted before a given deadline with a specific NWO SSH main discipline (see Section 3.4.1 and Annexe 7.2) are assembled and regarded as one panel. The applicant determines in which panel the application will be processed by means of the NWO SSH main discipline specified in the information form (see Section 4.1.3).

Applications processed per panel are divided into two groups so that each panel consists of Group A and Group B. In principle, the classification takes place at the time of submission to NWO, taking into account the personal interests declared by the applicants. Before the proposals are distributed for assessment, the assessors indicate their possible personal interests on the basis of a list of applicants. If a personal interest is established, the assessor will not receive the proposals concerned for assessment. Proposals can be switched between groups if this is necessary to comply with the NWO Code for Dealing with Personal Interests.

The budget of € 3,000,000 that is available for each round will be divided among the three panels in proportion to the number of applications submitted and within the panel between Group A and Group B. If less than twenty applications are submitted for specific panel within a given submission deadline, these applications round will be moved on to the next submission deadline, the assessment will be postponed, and the available budgets for both submission deadlines will be combined in the relevant panel. In the event that this situation occurs in the last of the four rounds of the pilot, these applications will be added to one of the other two panels. These applicants will then have five working days to adapt their application to the new panel classification.

4.1.5 Assessment by the applicants themselves

The assessment is carried out by the applicants themselves via anonymous peer review. The proposals in Group A are assessed by the applicants in Group B, and the proposals in Group B are assessed by the applicants in Group A. To this end, the proposals in Group A are randomly assigned to the applicants in Group B and the proposals in Group B are randomly assigned to the applicants in Group A. This means that every assessing applicant (hereinafter ‘assessor’) will receive a number of proposals from applicants who are not in the same part of the package as their own proposal. Within each panel, the proposals are allocated to assessors at random, i.e. neither the subject matter of the proposal nor are the applicants’ fields of expertise taken into account. In principle, each assessor will assess the same number of proposals.

Care should be taken to ensure that the proposals written are anonymous. Thus the research proposal makes no mention of the applicant’s name. This ensures that the assessor will make an unbiased assessment of the quality of the ideas contained in that proposal.

Each assessor will rank the proposals they have assessed based on the assessment aspects (see Section 4.2), whereby the proposals must all be allocated a different rank. The assessor writes a report for each proposal, giving the rank (with regard to the proposals assessed by that particular assessor) and a short written explanation.

The assessors must submit their reports within ten working days. If an assessor fails to meet the deadline set then their own proposal will be withdrawn from the procedure and will not be taken into consideration.

4.1.6 Decision-taking and tied ranking

The assessors and applicants associated with a particular package of proposals do not meet. The NWO SSH office will decide the final rankings of the proposals, based on the rankings submitted by the assessors. Six final rankings will be established per round – per panel one for Group A and one for Group B. There will be no opportunity to dispute the rankings.
The final ranking of the proposals is determined by taking the average of all the rankings received per proposal, whereby two of these rankings (one corresponding to the highest ranking and one to the lowest ranking) will not count towards that average.

In the event of a tied final ranking of two or more proposals (rounded down to one decimal place), the following principles will apply in this order:
− proposals from female applicants will have preference;
− proposals with the highest rankings overall will have preference; the only rankings considered here will be those that were used to determine the average for the final ranking.

The Domain Board of NWO SSH will base its decision about awarding or rejecting the proposals on the results of the six final rankings and the available funding.

Applicants will be informed of the results once the Domain Board of the NWO SSH has completed the decision-making process. In addition to the final ranking, the applicants will receive the assessment reports concerning their proposal, including the rank provided by each assessor, plus details of each assessor’s rationale. The applicant will receive full details of the rankings and the assessors’ rationale, including those that were not included in determining the average for the final ranking.

### 4.1.7 Timetable

Below, you will find the timetable for this Call for proposals. During the current procedure, NWO might find it necessary to make further changes to the timetable for this Call for proposals. You will, of course, be informed about this in time.

<table>
<thead>
<tr>
<th>Timeline SSH-XS, round 1</th>
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<tbody>
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<td>8 September 2022, 14:00:00 CEST</td>
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<tr>
<td>12 - 30 September 2022</td>
<td>Consideration of the proposal</td>
</tr>
<tr>
<td>19 - 23 September 2022</td>
<td>Disclosure of personal interests</td>
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<tr>
<td>4 October 2022</td>
<td>Proposals sent to assessors</td>
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<tr>
<td>18 October 2022, 14:00:00 CEST</td>
<td>NWO receives assessment reports</td>
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<tr>
<td>7 - 11 November 2022</td>
<td>Decision by the Domain Board of NWO SSH</td>
</tr>
<tr>
<td>14 November 2022</td>
<td>Applicants informed of the award decision</td>
</tr>
<tr>
<td>Start date project</td>
<td>No later than six months after the date of formal approval</td>
</tr>
<tr>
<td>End date project</td>
<td>No more than twelve months after the starting date</td>
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<td>Submission deadline</td>
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<tr>
<td>16 November - 7 December 2022</td>
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<td>23 - 30 November 2022</td>
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<td>8 December 2022</td>
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<tr>
<td>22 December 2022, 14:00:00 CET</td>
<td>NWO receives assessment reports</td>
</tr>
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<td>30 January - 3 February 2023</td>
<td>Decision by the Domain Board of NWO SSH</td>
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<td>6 February 2023</td>
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### Timeline SSH-XS, round 3

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<td>9 February 2023, 14:00:00 CET</td>
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<tr>
<td>13 February - 3 March 2023</td>
<td>Consideration of the proposal</td>
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<tr>
<td>20 - 24 February 2023</td>
<td>Disclosure of personal interests</td>
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<tr>
<td>7 March 2023</td>
<td>Proposals sent to assessors</td>
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<tr>
<td>21 March 2023 14:00:00 CET</td>
<td>NWO receives assessment reports</td>
</tr>
<tr>
<td>11 - 14 April 2023</td>
<td>Decision by the Domain Board of NWO SSH</td>
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<tr>
<td>17 April 2023</td>
<td>Applicants informed of the award decision</td>
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<td><strong>Start date project</strong></td>
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<td><strong>End date project</strong></td>
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### Timeline SSH-XS, round 4

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<td>24 April - 19 May 2023</td>
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<td>8 - 12 May 2023</td>
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<td>6 June 2023, 14:00:00 CEST</td>
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<td>26 t/m 30 June 2023</td>
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<tr>
<td>3 July 2023</td>
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</tr>
<tr>
<td><strong>End date project</strong></td>
<td>No more than twelve months after the starting date</td>
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</tbody>
</table>
4.2 Assessment aspects

Proposals must be drawn up with a wide audience in mind so that assessors from the panel concerned within the NWO SSH disciplines can properly evaluate the research plan and the assessment aspects described below. The NWO SSH main disciplines and associated (sub)disciplines are listed in Annexe 7.2. The assessors may award a lower ranking to any proposals that are drawn up in a way that is not accessible to those in other disciplines.

The assessors who rank the proposals will focus on the following aspects. These aspects are not weighted. At the discretion of an assessor, both of these aspects may be used for the purposes of ranking. However, that ranking must be accompanied by a short written explanation to substantiate the given rank based on the assessment aspects listed below.

Innovative aspect
Is the proposed research innovative, highly speculative, and ground-breaking? Could the research proposal potentially have a scientific impact? Will the proposed research take the applicant down a new path?

Feasibility of the research plan
The objective, rationale, and work plan must be clearly described. Can the project be carried out within the maximum period of twelve months and within the requested budget?
Chapter 5: Obligations for grant recipients / SSH Open Competition XS pilot

5 Obligations for grant recipients

This chapter details the various obligations that - in addition to the conditions stated in Section 3.5 - apply after funds have been awarded.

5.1.1 Data management

After a proposal has been awarded funding, the researcher should elaborate the data management section into a data management plan. For this, applicants can make use of the advice from the referees and committee. The applicant describes in the plan whether use will be made of existing data, whether new data will be collected or generated, and how the data will be made FAIR: Findable, Accessible, Interoperable, Reusable. Before submission, the data management plan should be checked by a data steward or similar officer of the organisation where the project will be realised. The plan should be submitted to NWO via ISAAC within four months after the proposal has been awarded funding. NWO will check the plan as quickly as possible. Approval of the data management plan by NWO is a condition for disbursement of the funding. The plan can be adjusted during the research. More information about the data management protocol of NWO can be found at: www.nwo.nl/en/research-data-management.

5.1.2 Intellectual property

With respect to intellectual property (IP), the NWO IP policy applies. This can be found in Chapter 4 of the NWO Grant Rules 2017.

Applicants must carry out a project funded by NWO during the time that they work for the knowledge institution. If an applicant or a researcher funded by NWO is appointed by more than one employer then the other employer should relinquish any possible IP rights that emerge from the applicant’s project.

5.1.3 Socially responsible licensing

The knowledge that emerges from the project could be suitable for use in society. When agreements about licensing and/or the transfer of research results developed under this Call for proposals are made, due consideration should be given to the ten principles for socially responsible licensing, as stated in the NFU factsheet “Socially Responsible Licensing Toolkit for knowledge institutions” (www.nfu.nl/sites/default/files/2020-09/200902-NFU%20Factsheet%20Toolkit%20SRL.pdf).

5.1.4 Open Access

As a signatory to the Berlin Declaration (2003) and a member of cOAlition S (2018), NWO is committed to making the results of the research it funds openly accessible via the internet (Open Access). By doing this, NWO gives substance to the ambitions of the Dutch government to make all publicly funded research available in Open Access form. Scientific publications arising from projects awarded on the basis of this Call for proposals must therefore be made available in Open Access form in accordance with the Open Access Policy.

Scientific articles

Scientific articles must be made available in Open Access form immediately at the time of publication (without embargo) via one of the following routes:

− publication in a fully Open Access journal or platform registered in the DOAJ;
− publication in a subscription journal and the immediate deposition of at least the author accepted manuscript of the article in an Open Access repository registered in Open DOAR;
− publication in a journal for which a transformative Open Access agreement exists between UNL and a publisher. For further information, see www.openaccess.nl/en.

Books


Chapter 5: Obligations for grant recipients / SSH Open Competition XS pilot

**CC BY licence**
To ensure the widest possible dissemination of publications, at least a Creative Commons (CC BY) licence must be applied. Alternatively – in the case of substantial interest – the author may request to publish under a CC BY-ND licence. For books, book chapters and collected volumes, all CC BY licence options are allowed.

**Costs**
Costs for publication in fully Open Access journals can be budgeted in the application using the budget module for “material costs”. Costs for publications in hybrid journals are not eligible for reimbursement by NWO. For Open Access books, a separate NWO Open Access Books Fund is available.

For more detailed information about NWO’s Open Access policy, see [www.nwo.nl/en/open-science](http://www.nwo.nl/en/open-science).
6 Contact and other information

6.1 Contact

6.1.1 Specific questions
For specific questions about this Call for proposals, please contact:
Ninja Rijnks-Kleikamp
Tel. +31 70 349 4346
E-mail sgw-ocxs@nwo.nl

6.1.2 Technical questions about the web application ISAAC
For technical questions about the use of ISAAC, please contact the ISAAC helpdesk. Please read the manual first before consulting the helpdesk. The ISAAC helpdesk can be contacted from Monday to Friday between 10:00 and 17:00 hours on +31 70 344 0600. However, you can also submit your question by email to isaac.helpdesk@nwo.nl. You will then receive an answer within two working days.

6.2 Other information
The entire text of this Call for proposals has been published in both Dutch and English. The Dutch version is deemed authentic. For legal interpretation the text of the Dutch version will be decisive.

NWO processes data from applicants received in the context of this Call for proposals in accordance with the NWO Privacy Statement, www.nwo.nl/en/privacyverklaring.

NWO might approach applicants for an evaluation of the procedure and/or research programme.
Chapter 7 Annexes /

7 Annexes

7.1 Explanation of the budget

These explanatory notes have been specifically drawn up as an annexe to the NWO Open Competition SSH - XS pilot Call for proposals 2022. The conditions described in this annexe only apply to this particular Call for proposals.

Explanatory notes concerning the budget for personnel

<table>
<thead>
<tr>
<th>Personnel appointments that may be requested</th>
<th>Maximum amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Postdoc</td>
<td>In accordance with UNL rates or NFU rates</td>
</tr>
<tr>
<td>Non-scientific staff (NSS) at universities</td>
<td>In accordance with UNL rates or NFU rates</td>
</tr>
<tr>
<td>Other Scientific personnel (OSS) at universities</td>
<td>€ 50,000</td>
</tr>
<tr>
<td>Research leave for applicants</td>
<td>In accordance with UNL rates or NFU rates</td>
</tr>
</tbody>
</table>

It is possible to apply for the funding of the salary costs of personnel who make a substantial contribution to the research. Funding of these salary costs depends on the type of appointment and the organisation where the personnel are/ will be appointed.

- For university appointments, the salary costs are funded in accordance with the UNL salary tables applicable at the moment the grant is awarded (www.nwo.nl/en/salary-tables).
- For university medical centres, the salary costs are funded in accordance with the NFU salary tables applicable at the moment the grant is awarded (www.nwo.nl/en/salary-tables).
- For the Caribbean Netherlands, the Dutch government employs civil servants on Bonaire, Sint Eustatius and Saba under different conditions than in the European part of the Netherlands www.rijksdienstcn.com/werken-bij-rijksdienst-caribisch-nederland/arbeidsvoorwaarden.

Remunerations for PhD scholarship students (‘bursalen’) at a Dutch university are not eligible for funding from NWO. The available budget modules are explained below.³

Postdoc

There are no additional conditions attached to the appointment of a postdoc.

Non-scientific staff (NSS) at universities

These may include student assistants, programmers, technical assistants, or analysts. Depending on the job level, one of the following salary tables may be used: NWP MBO (non-academic staff at institutions of secondary vocational education), NWP HBO (non-academic staff at universities of applied sciences), and NWP Academisch (non-academic staff at universities). No additional conditions are attached to the appointment of non-academic staff.

Other Scientific personnel (OSS) at universities

Funding can also be requested for the appointment of other scientific staff (OSS), such as AIOS (doctor in training to become a specialist), ANIOS (doctor not in training to become a specialist), or people with a university Master’s degree or the Dutch academic titles of ‘drs.’ or ‘ir.’. The applicant is personally responsible for ensuring that this appointment is in keeping with any relevant guidelines drawn up by the institution at which the research is to be carried out. The appointment must also be in accordance with the applicable Collective Labour Agreement for the institution at which the research is to be carried out. No additional conditions are attached to the appointment of other academic staff.

³ Upon approval, the rates used will be those of the Universities of the Netherlands (UNL) or the Netherlands Federation of University Medical Centres (NFU), as applicable at the time of the deadline for the package within which the proposal was submitted.
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Research leave for applicants

With this budget module, funding can be requested for the costs of the research leave of the applicant. The employer of the applicant can use this to cover the costs of relinquishing the researcher from educational, supervisory, administrative or management tasks (not research tasks). The time that is released through the research leave grant can only be used by the applicant for activities in the context of the project. The proposal must describe which activities in the context of the project the applicant will carry out in the time relinquished.

NWO funds the research leave in accordance with the salary tables for a senior scientific employee (scale 11) at the time the grant is awarded [www.nwo.nl/en/salary-tables](http://www.nwo.nl/en/salary-tables).

Explanation of budget module Material

The material budget that can be applied for is specified according to the three categories below:

Project-related goods/services
- consumables (e.g. glassware, chemicals, cryogenic fluids, etc.)
- measurement and calculation time (e.g. access to supercomputer, etc.)
- costs for acquiring or using data collections (e.g. from Statistics Netherlands [CBS]), for which the total amount may not be more than € 25,000 per proposal
- access to large national and international facilities (e.g. cleanroom, synchrotron, etc.)
- work by third parties (e.g. laboratory analyses, data collection, citizen science, etc.)

Travel and accommodation costs for the personnel positions applied for
- travel and accommodation costs
- conference attendance
- fieldwork
- work visit

Implementation costs
- national symposium/conference/workshop organised by the project researchers
- costs for Open Access publishing (solely in full gold Open Access journals, registered in the “Directory of Open Access Journals” [https://doaj.org/](https://doaj.org/))
- costs data management
- costs involved in applying for licences (e.g. for animal experiments)

Explanation of budget module Knowledge utilisation

The aim of this budget module is to facilitate the use of the knowledge that emerges from the research. As knowledge utilisation takes many different forms in different scientific fields, the applicant needs to specify the required costs, e.g. costs of producing a teaching package, conducting a feasibility study into potential applications, or filing a patent application.

The budget applied for should be adequately specified in the proposal.

Costs that cannot be applied for are:
- basic facilities within the institution (e.g. laptops, office furniture, etc.)
- maintenance and insurance costs
- overhead costs
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7.2 Overview panels NWO SSH

Overview of the panels Domain Social Sciences and Humanities.

Panel I is Behaviour and Education + Economics and Business Administration

Main disciplines: Behaviour and Education (GO)

(Sub)disciplines:
- Biological and Medical Psychology
- Clinical Psychology
- Developmental Psychology
- Social and Organisational Psychology
- Psychonomics and Cognitive Psychology
- Psychometrics
- Pedagogics
- Science of Teaching

Main disciplines: Economics and Business Administration (EB)

(Sub)disciplines:
- Microeconomics
- Macroeconomics
- Econometrics
- Business Administration

Panel II is Law and Public Administration + Social Sciences

Main disciplines: Law and Public Administration (RB)

(Sub)disciplines:
- Private Law
- Constitutional and Administrative Law
- International and European Law
- Criminal Law and Criminology
- Public Administration and Political Science
- Public Administration

Main disciplines: Social Sciences (SW)

Disciplines:
- Sociology
- Cultural Anthropology
- Communication Science
- Demography
- Geography
- Planning
- Environmental Science
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**Panel III is Humanities**

Main disciplines: Cultural Sciences and Linguistics (CTW)

(Sub)disciplines:
- Language
- Speech
- Logic
- Art and Architecture
- Music, Theatre, Performing Arts and Media
- Literature
- Gender Studies
- Computers and the Humanities

Main disciplines: Philosophy, Historical Sciences and Religious Studies (FHR)

(Sub)disciplines:
- Philosophy
- Religious Studies and Theology
- History of Science
- Archaeology
- Area Studies
- History