



Administration and Accountability Regulations Spinoza Prize

(version 1 July 2023)

- 1 A Spinoza Prize is allocated to a researcher working at one of the institutes listed in art. 1.1, par. 1. in the NWO Grant Rules 2017 for conducting scientific/scholarly research in the Netherlands. The recipient of the Prize, henceforth the laureate, enjoys ample liberty in the expenditure of the Prize. If the Prize will be used within a graduate school, the laureate should concur with the policy of the board of that graduate school. The duration is in principle five years.
 - 2 The laureate submits a research plan to the Executive Board of NWO (2-3 pages), including a global allocation per year. The Executive Board of NWO will decide on the research plan.
 - 3 The research plan includes the costs for administration and accountability. Furthermore, possible expected revenue (for example interest income conform current interest percentage) should be mentioned in the plan. For personnel members who make a substantial contribution to the research as planned, the salary costs may be declared. Funding of these salary costs depends on the type of appointment and the organisation where the personnel are/ will be appointed.
 - a. For university appointments, the salary costs are funded in accordance with the UNL salary tables applicable at the moment the grant is awarded ([Salary tables | NWO](#)).
 - b. For university medical centres, the salary costs are funded in accordance with the NFU salary tables applicable at the moment the grant is awarded ([Salary tables | NWO](#)).
 - c. For the Caribbean Netherlands, the Dutch government employs civil servants on Bonaire, Sint Eustatius and Saba under different conditions than in the European part of the Netherlands. [Employment terms and conditions | Working at the Rijksdienst Caribisch Nederland | Rijksdienst Caribisch Nederland \(rijksdienstcn.com\)](#).
- Remunerations for PhD scholarship students ('bursalen') at a Dutch university are not eligible for funding from NWO.
- 4 The laureate may with agreement from the Executive Board of NWO make changes in the research plan.
 - 5 The laureate will indicate in the research plan which institute will manage and administrate the Prize. After confirmation of the research plan by NWO, NWO will transfer the total amount of the Prize to a designated bank account of that institute. The chosen institute manages and administrates the Prize in concord with NWO Grant Rules 2017.
 - 6 In accordance with the NWO Grant Rules 2017, the project that NWO funds must be carried out in accordance with the nationally and internationally accepted standards for scientific conduct as stated in the Netherlands Code of Conduct for Research Integrity (2018). By submitting the research plan, the laureate commits to this code. In the case of a (possible) violation of these standards during a project funded by NWO, the laureate should immediately inform NWO of this and should submit all relevant documents to NWO. More information about the code of conduct and the policy regarding research integrity can be found on the website: [Scientific integrity | NWO](#).
 - 7 When publishing research results funded by the Prize, the laureate will state that NWO has provided the funding along with the NWO project number and the Spinoza Prize. The NWO logo will be displayed. If applicable, NWO's formal appellation in the language concerned will be used. The laureate may use one of the following sentences:

- Dit onderzoek wordt (mede) gefinancierd uit de NWO-Spinozapremie toegekend aan <naam laureaat> door de Nederlandse Organisatie voor Wetenschappelijk Onderzoek (NWO).
 - This research is (partly) financed by the NWO Spinoza prize awarded to <naam laureaat> by the Dutch Research Council (NWO).
- 8 All publications emerging from projects funded by NWO from 1-1-2016 onwards must be made available in Open Access immediately upon publication. There are different routes for researchers to publish Open Access. Further information is available at <https://www.nwo.nl/en/open-science>.
- 9 World-class science can benefit from international cooperation. The National Knowledge Security Guidelines (hereafter: the Guidelines) helps knowledge institutions to ensure that international cooperation can take place securely. Knowledge security concerns the undesirable transfer of sensitive knowledge and technology that compromises national security; the covert influence of state actors on education and research, which jeopardises academic freedom and social safety; and ethical issues that may arise in cooperation with countries that do not respect fundamental rights.
- Applicants are responsible for ensuring that their project complies and will continue to comply with the Guidelines. By submitting an application, the applicant commits to the recommendations stipulated in these Guidelines. In the event of a suspected breach of the Guidelines in an application submitted to NWO for project funding, or in a project funded by NWO, NWO may ask the applicant to provide a risk assessment demonstrating that the recommendations in the Guidelines have been taken into consideration. If the applicant fails to comply with NWO's request, or if the risk assessment is in apparent breach of the Guidelines, this may affect NWO's grant award or decision-making process. NWO may also include further conditions in the award letter if appropriate.
- The National Knowledge Security Guidelines can be found on the central government website at: Home | National Contact Point for Knowledge Security (loketkennisveiligheid.nl).
- 10 Responsible research data management is an essential component of good research practice. NWO expects that research data resulting from NWO-funded projects will be made publicly available, as much as possible, for reuse by other researchers. Furthermore, NWO aims to increase the awareness of researchers of the importance of a responsible data management. More information is available at the website: <https://www.nwo.nl/en/research-data-management>.
- 11 The Nagoya Protocol ensures an honest and reasonable distribution of benefits emerging from the use of genetic resources (Access and Benefit Sharing; ABS). Researchers who make use of genetic sources from the Netherlands or abroad for their research should familiarise themselves with the Nagoya Protocol ([ABS Focal Point](#)). NWO assumes that researchers will take all necessary actions with respect to the Nagoya Protocol.
- 12 For certain research topics there is an ethical statement or licence necessary from the relevant institution or ethics committee on time (METC or CCD). For other research there is a permit needed on the basis of the Law on Population Screening (WBO). The laureates themselves are responsible for checking whether it is necessary to obtain any ethical statement or licence in time.
- 13 Knowledge utilisation increases the chance of societal impact of research and is therefore an important part of the NWO strategy. To do justice to the different expectations in terms of societal impact, NWO implements three impact approaches that promote knowledge utilisation proportionally. These approaches consist of the Impact Outlook, the Impact Plan, and the Impact Focus approach. Within each approach, different activities are feasible varying through the early stage of setting up the research, during the research itself and after completing the research. For the research funded by the Spinoza Prize, NWO expects that primarily the *Impact Outlook* approach will be applied. In case the *Impact Plan* or the *Impact*

Focus is better suited, these are of course allowed. For more information on the policy on knowledge utilisation, please see: <https://www.nwo.nl/en/knowledge-utilisation>.

- 14 If the Prize has not been completely spent within the initial five years duration, the laureate may request an extended duration to NWO. The necessary documents for a request for extending the duration are: a report of the research already conducted, an financial overview of the budget already spent and a research plan and a budget overview for the extended period.
- 15 The institute that manages and administrates the Prize, is obliged to submit a financial overview of the expenditures for the complete duration of the Prize. This final financial settlement needs to be confirmed by the head of the financial department of the relevant institute.
- 16 In case the duration of the Prize needs to be ended before the expected end date, the remaining amount of the Prize must be returned to NWO accompanied by a financial overview confirmed by the head of the financial department of the relevant institute. This is applicable if the laureate decides to continue their career outside the Netherlands or if the laureate is unable to continue the research due to serious illness or decease.