



**OPEN RESEARCH AREA IN EUROPE FOR THE SOCIAL SCIENCES.  
ANR-DFG-ESRC-NWO**

**2<sup>nd</sup> Call for proposals**

***An agreement between the Agence Nationale de la Recherche (ANR France), Deutsche Forschungsgemeinschaft (DFG Germany), the Economic and Social Research Council (ESRC UK) and the Nederlands Organisatie voor Wetenschappelijk Onderzoek (NWO Netherlands).***

In order to strengthen European co-operation in the field of social sciences, wishing to fund high quality scientific research within their own countries, and conscious that some of the best research can be delivered by working with the best researchers internationally, ANR, DFG, ESRC and NWO are launching a second common call for proposals in order to fund the best joint research projects in social sciences. The scheme will provide for the funding of integrated projects by researchers coming from more than one of the four participating countries – in any combination of two or more countries. The partner organisations will conduct a co-ordinated peer review and a single common selection process. Funding will be distributed among the partners according to the place of work of the researchers, and generally according to the funding rules of each individual agency (with some exceptions detailed below).

**Franco-German bilateral projects will continue to be funded under the ANR-DFG scheme for Social Sciences and Humanities and are therefore excluded from this call.**

Within the framework of this call, the disciplinary coverage may vary according to the involvement of the national agencies. Proposals may be submitted in any area of the social sciences. Applicants who are uncertain of whether their proposal would be eligible should contact the relevant national agencies for clarification.

**Deadline for the submission of proposals:**

30.09.2011, until 12 p.m. (midday) C.E.T

Only completed and timely uploaded applications will be considered.

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## DETAILS OF PROPOSED SCHEME

### I. Eligibility Criteria

- The scheme will be open to applications from eligible researchers from two or more of the subscribing countries (please see point 3) for additional information concerning each agency). The applicants must meet the specific eligibility criteria set by their respective national funding agencies. Co-investigators from other countries may be included as long as they bring the relevant funding with them, or are eligible for funding under one of the subscribing agencies' rules. Please note, as stated above, Franco-German bilateral projects will furthermore be funded under the ANR-DFG scheme for Social Sciences and Humanities and are therefore excluded from this call.
- Disciplinary scope: proposals may be submitted in any area of the social sciences within the remit of the relevant national agencies concerned (please see point 3) for additional information concerning each agency).
- Applications may be for projects with a **minimum duration of two years** and a **maximum of three years**.
- The projects must constitute substantive integrated work. This may include both research and resource and infrastructure projects – for example to establish surveys, datasets or corpora – but networking or other projects without a substantive focus will **not** be eligible.
- Projects must involve integrated collaboration between partners from more than one country. Projects must have well identified joint working, demonstrating clearly the added value of transnational collaboration. Loosely connected projects in two different countries, where there is no clear scientific value added from the collaboration will not be eligible.
- All applicants and their institutions must fulfil national eligibility rules for research proposals as set by the relevant agency. All budget items must conform to the national rules relevant for each applicant. The total value of applications in each country must not exceed funding levels for applications which apply in that country. The following funding limits will apply:
  - ANR** €200,000 per year per project
  - DFG** No maximum limit
  - ESRC** Up to £750,000 at 100% fEC (£600,000 at 80% fEC), with a minimum of £100k, per project
  - NWO** Up to € 250,000 per project
- Applicants must note that the national agencies retain the right to reject applications where they fail to comply with the procedures set out in the guidelines. If an application is ineligible with one national agency the complete project will be rejected by all the agencies concerned.
- If an application has been submitted to any other call this must be clearly stated in the application form (please also check national agency rules regarding submission of a project to more than one scheme).

### II. Submission of Project Proposals

- All applications, involving any two or more of the national partners will be submitted electronically via the elan-system on <http://www.dfg.de/ora> . There will be one single integrated proposal per project (see section IV below).
- Proposals from the UK and from the Netherlands must be submitted in English. Proposals with a German or French partner may additionally be submitted in German or French. The authors may decide to write the proposal in English only.
- The proposal must be submitted via the elan system by **September 30, 2011**. Please note that any applications not submitted via elan cannot be considered. Each national party will have a Principal Investigator (PI) in that country, which must be clearly identified in the Project Description (see below). For the online application via elan (and for this purpose only), one of the Principal Investigators will act as main contact and register an elan account. The main contact will submit the online application on behalf of all partners.

- **Applications should be sent complete by the deadline** set out for the call. Applicants are obliged to ensure that the application contains sufficient and consistent information for evaluation. **The national agencies will not seek or accept supplementary information or revisions after the application deadline.**
- National agencies expect to communicate funding decisions by mid of June 2012. The earliest start dated for successful applications will be 1 July 2012, with integrated projects from each country starting on the same date.
- Publication of Data on Grant Holders and Research Projects: Please note that all information in the applications will be shared between the four agencies for the purposes of assessing applications to reach a joint funding decision (and only for this purpose). Information on successful applications will be made generally available, including names of Principal Investigators and Co-Investigators, institution, discipline, title, and a non-technical summary of the research project.

### III. Peer Review and Selection

There will be a two-stage peer review process. The first stage will obtain written reviews from a minimum of two external expert reviewers nominated by the relevant national agencies. One reviewer at least will be nominated by each agency from which funding is sought (according to the size and the disciplinary breadth of the projects, additional reviews may be commissioned). In the second stage, the projects will be assessed by a joint panel. The panel will consist of recognised researchers from various fields of social sciences who have sound knowledge and understanding of national level decision-making systems. The funding recommendations of the joint panel will be subject to approval by the national agencies.

### IV. Guidelines for Proposals

Collaborative teams should submit a single online application using the elan system (on <http://www.dfg.de/ora>). There you will find all relevant documents to be completed. The *online application form* will contain basic information about the project team and the resources required. The *Project Description* template should be used to describe the proposed research. In addition, individual national agencies will require further templates as detailed below (please see point 3) for additional information concerning each agency).

1) While completing the elan online application, you will be asked to provide information about:

- A full list of the principal (PI) and co-investigators (Co-I) in all contributing countries with their full address and contact
- Project title
- Duration of project
- Project abstract / Short description
- Project Acronym
- Disciplines: what are the primary and other contributory disciplines?
- Overview of the full project costs.
- Attachments: Brief CVs for every named researcher including a list of publications (Maximum 2 pages CV and a maximum of 10 publications mentioned per researcher); templates requested according to the rules of each agency. Templates will be provided on <http://www.dfg.de/ora>

2) The **Project Description** will be up to 5,000 words (excluding the bibliography, the justification of resources and resubmission details) for bilateral applications and up to 6,000 words (excluding the bibliography, the justification of resources and resubmission details) for multilateral applications. A template for the Project Description will be provided on <http://www.dfg.de/ora>. The Project Description will include:

1. The aims/objectives of the research proposed
2. The intellectual, scientific and policy background to the proposal and why it is important
3. The theoretical and methodological basis for the work and why this will be feasible, innovative and interesting
4. Other relevant published and continuing research on which it will build
5. The specific research proposed and how this will be contributed to by the various national partners, the research timetable, the resources required, and the contributions of the various team members
6. Details of any specifics about evidence collection (primary and secondary sources, fieldwork, surveys, etc.) and of the proposed evidence analysis (statistical, theoretical, methodological), and why these are relevant, appropriate and innovative
7. Impact: who are seen as the beneficiaries of the research, both academic and non academic impact and how this research will be disseminated to them?
8. Ethics: Any ethical issues arising from the research, how they will be addressed and that they have been subject to all appropriate national and institutional procedures. Please note applicants should check ethical requirements with the relevant national agencies.
9. Data management: Arrangements made for data storage and providing access to data for other researchers
10. Staff duties: what are the roles of the various staff proposed on the project?

The Project Description template will also include

- Bibliography which should only include works cited in the Project Description
- Justification of resources for all national agencies involved in the funding request. A clear explanation for the costs requested in the overall project (maximum of one page per national agency).
- Resubmission: in case your application is a resubmission, specify how the application has been revised substantially. National agencies may reject applications if they do not consider these to be a substantial revision.

Please note that a bibliography, the justification of resources and any resubmission details are not included in the word count for the Project Description.

### 3) **Additional Information** required for ANR/DFG/ESRC/NWO

Additional information required for **ANR**

For specific eligibility criteria regarding French Applicants and for additional specific guidance on submitting your ORA application to ANR, please check <http://www.agence-nationale-recherche.fr/ORA-2011>

Additional information required for **DFG**

#### **Eligibility**

Please consult the Guidelines and Proposal Preparation Instructions for research grants for further information on eligibility.

- [http://www.dfg.de/ora/ora\\_sachbeihilfe\\_2011.pdf](http://www.dfg.de/ora/ora_sachbeihilfe_2011.pdf) (german)
- [http://www.dfg.de/ora/ora\\_sachbeihilfe\\_e\\_2011.pdf](http://www.dfg.de/ora/ora_sachbeihilfe_e_2011.pdf) (english)

## Scope of Funding

The DFG provides research grants for research projects with clearly defined topics and durations. The principal cost categories include personnel, direct project costs, travel and publication expenses. Please see the Guidelines for Funding for further information:

### Additional information required for **ESRC**

UK applicants must meet the ESRC eligibility criteria and ESRC disciplinary remit for responsive mode applications; see the ESRC Research Funding Guide for eligibility information [http://www.esrc.ac.uk/images/Research\\_Funding\\_Guide\\_tcm8-2323.pdf](http://www.esrc.ac.uk/images/Research_Funding_Guide_tcm8-2323.pdf).

The current list of eligible ESRC lead disciplines are: Area and Development Studies, Demography, Economic and Social History, Economics, Education, Environmental Planning, Human Geography, Linguistics, Management and Business Studies, Political Science and International Studies, Psychology, Science and Technology Studies, Social Anthropology, Social Policy, Socio-Legal Studies, Sociology, Statistics, Methods and Computing.

Please note ESRC has a joint subject statement which gives more detail on the research areas at the interface of the ESRC and AHRC interests. See link below: [http://www.esrc.ac.uk/images/Joint\\_AHRC\\_ESRC\\_Statement\\_on\\_Subject\\_Coverage\\_tcm8-2637.pdf](http://www.esrc.ac.uk/images/Joint_AHRC_ESRC_Statement_on_Subject_Coverage_tcm8-2637.pdf)

**UK applicants do not need to submit a direct application to ESRC for the 2011 ORA call.** However particular attention needs to be taken regarding the details required within the online application to DFG – such as the financial details and justification of resources for the UK partner. Templates must be completed for the following sections of your application:

- Financial summary of resources required for UK side of the project
- Justification of resources
- Project student (if applicable)

The templates will be available for download and completion from the online application system (eLan).

#### Guidance for template completion

- Requests for funds from ESRC must adhere to ESRC funding guidelines as found in our [Research Funding Guide](#).
- The role of the Justification of Resources is to aid reviewers when assessing proposals so that they can make an informed judgement on whether the resources requested are appropriate for the research posed. The JoR should be no more than 2 sides of A4. This statement should be used to justify the resources required to undertake the research project and is mandatory. The JoR should explain why the resources requested are appropriate for the research proposed taking into account the nature and complexity of the research proposal. All items requested in the Financial summary of resources template must be justified in the Justification of Resources.
- Please also make clear any additional Project Partner details and contribution (outside of the four participating Country applicants)
- Please provide full details and confirmation of eligibility for any Project Student requested, see the ESRC website for further information:

<http://www.esrc.ac.uk/funding-and-guidance/guidance/postgraduates/ptguidelines.aspx>.

Please note failure to adhere to the ESRC Guidelines on eligibility for Project Students could result in the exclusion of the requested project student or the rejection by the national agencies of the full application.

The total budget requested for the UK project is a minimum of £100k and a maximum of £750,000 at 100% fEC (£600,000 at 80% fEC), per project.

NB: Once decisions have been agreed in 2012, all successful UK applicants will need to submit their application through Je-S, therefore all UK applicants and Co-Investigators will need to be Je-S registered.

For further instructions, please consult the ORA Scheme page on the [ESRC website](#). The ORA Scheme page will be posted on the ESRC website by mid-July 2011. **Please ensure to check the [ORA homepage](#) (hosted by DFG website) for full guidance on the ORA 2011 Call for Proposals.**

Additional information required for <b>NWO</b>
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To be eligible for NWO funding, Dutch applicants must hold a doctorate and be employed at a Dutch university or research institute recognised by NWO or the KNAW.

For the Dutch project, the proposed research must fall within the remit of the domain of the social sciences. This domain is defined in the NWO social sciences list of expertise codes. Please see: [http://www.nwo.nl/files.nsf/pages/NWOP\\_66YCVE\\_Eng/\\$file/Disciplinelist\\_MaGW\\_2009.pdf](http://www.nwo.nl/files.nsf/pages/NWOP_66YCVE_Eng/$file/Disciplinelist_MaGW_2009.pdf)

Dutch applicants do not need to submit a direct application to NWO for the 2011-2012 ORA Call. Proposals may be for projects with a minimum duration of two years and a maximum of three years. Applicants for the Dutch project can apply for up to a three-year full-time postdoc OR a three-year full-time PhD position. The PhD student should successfully have completed a Dutch research master's study programme. The total budget requested for the Dutch project may not be higher than € 250,000. Material costs up to the sum of € 50,000 can be applied for. These costs should be justified on the application form. An integrated proposal can only include one Dutch project (research group based in The Netherlands).

NB: Once decisions have been agreed in 2012, all successful Dutch applicants will need to submit their application to NWO through Iris.

For full instructions, please consult the ORA Scheme page on the NWO website. The ORA Scheme page will be posted on the NWO website by mid-July 2011. Please see: [http://www.nwo.nl/nwohome.nsf/pages/NWOP\\_82GL9T\\_Eng](http://www.nwo.nl/nwohome.nsf/pages/NWOP_82GL9T_Eng)