



**Humanities
Social Sciences
WOTRO Science for Global Development**

Cultural Dynamics

Call for proposals subsidy round 2009

**This document is the translation of the Dutch Call for Proposals.
In case of different interpretation of the original (Dutch) text
and this (English) translation the original Dutch text prevails.**

Contents

1	Introduction	3
2	Aim	4
3	Guidelines for applicants	6
	3.1 Who can apply?	6
	3.2 What can be applied for?	6
	3.3 When can applications be submitted?	7
	3.4 Drawing up an application	8
	3.5 Specific conditions	8
	3.6 Submitting an application	8
4	Assessment procedure	9
	4.1 Procedure	9
	4.2 Criteria	10
	4.3 Composition of committee	10
5	Other information	11
	5.1 Contact	11
	5.2 Documentation	11
6	Appendix	12
	Guidelines for drawing up a full proposal in connection with the Cultural Dynamics programme	12
	Approval of institute where the research will be carried out	12
	Iris fact sheet	13
	Application	13
	Appendix	15

1 Introduction

The Cultural Dynamics theme programme is a multidisciplinary research programme which focuses on the dynamising role of cultural heritage and interaction between culture and society: past and present.

This theme programme, which commenced in 2007, is a collaborative project instigated by the NWO Divisions for Humanities and Social Sciences together with WOTRO Science for Global Development.

This call for proposals provides information on the available options for submitting applications for subsidy, the conditions which such applications have to meet, and the procedure followed when assessing applications for subsidy. A brief description of the theme programme is also included.

This call exclusively pertains to the second round for submitting research projects whose volume does not exceed EUR 450,000. We only accept applications relating to the Intermediality and/or Popular Culture lines of approach.

The full programme memorandum can be downloaded on the theme programme website www.nwo.nl/cultureledynamiiek. The website gives a short description of the research projects subsidised in the first subsidy round.

2 Aim

The Cultural Dynamics theme programme

The Cultural Dynamics theme programme promotes research into societal processes which focus on culture and the dynamics of cultural heritage. This theme programme interprets culture in the broadest possible sense, both material and immaterial. It not only includes architecture, visual art, theatre, music and new cultural manifestations created by new types of media and technology, but also culturally-defined customs and rituals. It is this very process of heritage formation and linking culture with identity which forms the nucleus of the theme programme. This will enable us to obtain a clearer picture of how cultural heritage and cultural citizenship come into being, and to devote more attention to the position of groups which have been excluded or discriminated against during this process.

In view of the international nature of the issue, research is not confined to the situation in the Netherlands. Moreover, it does not merely involve descriptions of present-day developments, relationships and situations; it also involves the recognition and analysis of processes with a certain temporal depth, which form the heart of cultural dynamics. Ensuring a correct analysis of this temporal depth and taking the importance of historical processes into consideration is even more necessary than before within a context of fixation on the shape that societal problems currently assume.

Content and structure

The Cultural Dynamics theme programme is structured around five lines of approach. Above the five lines of approach is the theoretical meta-level, in which there is room for the necessary meta-reflection on the terms that are used in the field of cultural heritage as well as on the theories and methodology in the field of cultural dynamics. Naturally, the development of theories is always closely allied to specific research into the individual lines of approach and sub-themes. However, it is important to emphasise here that research into cultural dynamics does not automatically have to be instrumentalised with a view to resolving current problems. Each of the five lines of approach is ideal for international and comparative research. These five lines of approach seem not only to predominate in most academic literature, but they also currently determine the lion's share of societal discussions on cultural heritage.

The five lines of approach are as follows:

- processes of inclusion and exclusion, substantiated as 'citizenship';
- processes of certifying sustainable values, substantiated as 'canon formation';
- processes of creative design, summarised as 'innovation' and substantiated in terms of broadly-conceived implementation;
- forms of migration of heritage between the media (old as well as new) and the significance ascribed thereto, summarised by the term 'intermediality';
- forms of widely-supported cultural participation, substantiated as 'popular culture' and focusing on present-day cultural practices and the new media, from radio, films and TV to computer games and the Internet, while simultaneously taking the historical dimension into account.

The second call for proposals is exclusively oriented towards the Intermediality and Popular Culture lines of approach. Relatively little attention was given to these lines of approach in the first subsidy round. This means that only applications pertaining to these two lines of approach may be submitted. We would like to emphasise that proposals from an historical point of view within these two lines of approach will be welcomed. Since intermediality and popular culture are closely interwoven with the

other lines of approach, the description of these has been included in the programme memorandum in italics.

Budget

The volume of the total theme programme is EUR 8.5 million, and the programme is expected to run for 7 years (2007-2014). A total of EUR 2.7 million has been earmarked for this subsidy round, and we expect to be able to accept about 6 research projects not exceeding a volume of EUR 450,000 each in this round.

3 Guidelines for applicants

3.1 Who can apply?

Applications may be submitted by senior researchers at Dutch institutions for research-oriented higher education and academic research and/or senior researchers at institutions recognised by NWO.

3.2 What can be applied for?

Applications submitted may exclusively concern research projects elaborating on the Intermediality and/or Popular Culture lines of approach. We will be asking applicants to establish links – within the Intermediality and/or Popular Culture line of approach – with one or more of the other lines of approach contained in the theme programme in order to ensure that applications really are compatible with the entire framework of the theme programme.

The following conditions will apply:

- The subsidies are intended for at least two researchers, to be subsidised by NWO, at least one of whom must be a PhD researcher. Other options include Postdoc researchers or a possible replacement for a senior researcher, provided that this results in one or more publications in connection with the programme.
- The volume may not exceed EUR 450,000, and the research projects will run for no more than five years.
- The research must be embedded in an international context. This should be expressed in a broad-based, non-nationally-limited theme in a comparative approach and/or in partnership with external parties.
- Research proposals must comply with the requirement of multidisciplinary cooperation. Multidisciplinarity should be interpreted as comprising at least two disciplines. These may be two or more disciplines within Humanities or Societal and Behavioural Sciences, or two or more disciplines from Humanities as well as from Societal and Behavioural Sciences.
- For proposals involving research to be conducted in developing countries,¹ the research team must comprise at least one applicant from a partner institute in the relevant developing country, and one PhD researcher or Postdoc researcher from a developing country. For proposals involving research with a considerable international component which is immediately relevant to developing countries but not carried out in such countries, we strongly recommend including partners from developing countries.
- Communication/dissemination plans must form part of the detailed research proposal. This must be oriented towards making research results available to other academics, and (if applicable) translation and use of relevant research results by societal partners or interested parties outside the established academic community.

¹ Developing countries should be taken to mean low-income and middle-income countries entitled to Official Development Assistance (ODA) as defined by the OECD (see www.oecd.org/dac/stats/daclist).

Expenses to apply for

The expenses which may be applied for are listed below, followed by an explanation of the terms replacement subsidy, research in developing countries and bench fee.

The expenses given below may be applied for in connection with a research project:

- PhD positions (maximum period of funding is 4 years, with the option of part-time research: this will involve a maximum period of 5 years);
- Postdoc positions (maximum period of funding is 3 years, with the option of part-time research: this will involve a maximum period of 4 years);
- Replacement grant for those in charge of the programme (maximum subsidy contribution is EUR 25,000 on a basis of 12 months and 0.5 FTEs: spreading the volume over a number of years is permitted);
- Researchers from developing countries may be appointed with a monthly living allowance, if applicable;
- Material expenses for internationalisation;
- Material expenses for communication/valorisation;
- Expenses incurred for research in developing countries;
- Bench fee.

Explanation of some terms

- replacement grant

Subsidy for senior researchers employed by the institute, intended to enable them to find replacements for part of their (educational) duties, which will leave them free to participate in the relevant project. In any event, this should result in one or more publications in connection with the research project. These senior researchers must be able to show how they intend to secure a replacement. The subsidy will be used to pay the replacement's salary.

- research in developing countries

In the event that they are not given an appointment at a Dutch university, researchers from developing countries are entitled to receive a monthly living allowance to cover all personal expenses including accommodation, medical expenses, insurances, and travel to and from work. The regulations at the principal applicant's institute should be taken as a basis for determining the amount of the allowance. Additionally, research expenses can be claimed (travelling expenses, accommodation expenses, consumer items and research assistance).

- bench fee

Each PhD researcher and/or postdoc researcher, including researchers from developing countries who are paid a living allowance, is entitled to receive a bench fee. This bench fee can be up to a maximum of EUR 5,000, and is intended to cover a number of expenses, including the costs of PhD research and attending (international) conferences. Although the bench fee is intended for the project supervisor, it is placed at the disposal of the project leader, which means that the project supervisor is entitled to the bench fee. The project leader and the project supervisor must allocate the bench fee in joint consultation.

3.3 When can applications be submitted?

The deadline for submitting applications is 4 June 2009 at 12 noon. This exclusively applies to full proposals. No alterations or additions may be made to applications after this deadline.

3.4 Drawing up an application

When drawing up your application, please follow the 'Guidelines for submitting an application for subsidy' (Appendix, Section 6). These Guidelines can also be found on the website (www.nwo.nl/cultureledynamiek).

3.5 Specific conditions

This call for proposals is subject to NWO's general grant conditions. Financing will take place in accordance with the delegation of employership agreement between NWO and the VSNU universities' association.

Projects approved for subsidy must start no later than six months after subsidy has been granted. The programme committee reserves the right to withdraw subsidies for projects which have not yet commenced within this period.

3.6 Submitting an application

You should submit your application through Iris, the NWO electronic application system for subsidies. You can find information and guidelines on how Iris works at <https://www.iris.nwo.nl/>

An electronic application consists of two parts: a fact sheet (online web form) containing basic information about the applicant and the application, and the actual proposal. Please use Iris to fill in and submit the fact sheet electronically, and send the proposal in PDF format as an attachment to your electronic submission of the fact sheet.

4 Assessment procedure

4.1 Procedure

In connection with this call for proposals, only full proposals may be submitted. No preliminary proposals will be accepted. The deadline for submitting applications is 4 June 2009 at 12 noon. The admissibility of the proposals will be determined according to the following criteria:

Substantive aspects:

- Is the substance of the proposal compatible with the Cultural Dynamics theme programme, and more particularly, is it compatible with the restrictions that apply to this call with respect to the lines of approach to which the applications must relate?

Administrative aspects:

- Has the application been submitted within the framework of the call for proposals pertaining to the Cultural Dynamics theme programme?
- Does the application comply with the conditions regarding the staffing establishment and the financial restrictions?
- Has all the information requested been provided correctly?

It is the programme committee's task to assess whether the applications are substantively admissible. The project office will assess the admissibility of the applications with respect to the administrative aspects.

Applications will be assessed by an evaluation committee in accordance with the criteria specified in Section 4.2. The evaluation committee will engage at least 2 (international) experts when assessing applications. The anonymous experts' reports will be sent to the applicants, which will give them the opportunity to comment in response. The evaluation committee will judge the quality of the applications on the basis of the applications themselves, the experts' reports and the applicants' comments on these reports, and will give the programme committee preferential advice in writing.

The programme committee will marginally check the assessment and will then determine the definitive preferential order on the basis of the evaluation committee's recommendations. Policy-related considerations can also play a role in this. The research projects to be approved will be determined using this preferential order as the basic principle.

Applications approved for subsidy must start no later than six months after subsidy has been granted.

The time schedule for the call for proposals for the 2009 subsidy round is as follows:

March:	call for proposals put out
4 June 2009, 12 noon:	deadline for submitting full proposals
June/July/August:	applications assessed by (international) experts
Beginning of September:	applicants are given the opportunity to respond to the experts' comments
Beginning of October:	applications assessed by evaluation committee
End of October:	programme committee decides on projects to be approved

4.2 Criteria

Applications for research projects with a maximum volume of EUR 450.000 must be compatible with the objective and the definition of the Cultural Dynamics theme programme as specified in this call for proposals (Section 2). The applications must elaborate on the Intermediality and/or Popular Culture lines of approach and must establish links with one or more of the other lines of approach in the theme programme.

The absolute assessment criteria for the applications are as follows:

I Academic quality

- originality of the problem definition and/or methodology
- academic importance of the proposed research
- clear definition of the problem
- appropriateness of the approach/methodology
- feasibility of the research proposal, including the quality of the research group, the infrastructure and the work plan

II Added value

- the relationship between the description of the research project and the sub-projects within it, and between all the individual sub-projects
- organisation and embedding of the research project

III Relevance

- societal and cultural relevance
- dissemination of knowledge
- international orientation
- multidisciplinary cooperation

The following criteria play a role in the relative assessment:

degree of compatibility of the research proposal with the Cultural Dynamics theme programme and the degree in which the proposal elaborates on the Intermediality and/or Popular Culture lines of approach

societal and cultural relevance

the way in which multidisciplinary cooperation has been translated into concrete terms in the research proposal

the degree of international orientation

4.3 Composition of committee

The programme committee is responsible for the substance of the Cultural Dynamics theme programme. You will find the composition of this committee on the website (www.nwo.nl/cultureledynamiek). The research proposals will be assessed by an ad hoc evaluation committee, and the secretariat will be provided by NWO.

5 Other information

5.1 Contact

For further information, please contact the project office.

The project office comprises the following persons:

Elske Gerritsen (project secretary, contact person for Humanities)

- Tel.: +31 (0)70 344 08 21
- e-mail: gerritsen@nwo.nl

Divera Borsboom (contact person for Societal and Behavioural Sciences)

- Tel.: +31 (0)70 344 09 81
- e-mail: borsboom@nwo.nl

Cora Govers (contact person for WOTRO Science for global development)

- Tel.: +31 (0)70 344 06 73
- e-mail govers@nwo.nl

Project Secretariat

- Tel.: +31 (0)70 344 08 18

5.2 Documentation

You can download this call for proposals, the programme memorandum and the relevant documents on the theme programme website:

www.nwo.nl/cultureledynamiiek

6 Appendix

Guidelines for drawing up a full proposal in connection with the Cultural Dynamics programme

Please follow the guidelines below when submitting a full proposal. Always consult the programme memorandum and the Call for Proposals. These can be downloaded on the NWO website: <http://www.nwo.nl/cd>.

Submitting full proposals

The Cultural Dynamics project office must receive all full proposals (in PDF format only) before Thursday 4 June 2009 at 12 noon. When submitting your application, you should use Iris, the NWO electronic application system for subsidies. To do this, go to <https://www.iris.nwo.nl/>, where you will also find information and guidelines on how Iris works. Before using Iris, you will need a user name. Information about how to obtain a user name is also available on the website. Iris will send you an e-mail confirming the NWO's receipt of your electronic application.

An electronic application consists of two parts: a fact sheet and the actual application.

Please use the fact sheet to give factual information on your application (title, principal applicant, etc).²

The application should be submitted in PDF format. In order to be able to process the details of the application in the PDF file properly, the file must not be protected in any way.

Applications must be drawn up in English, in a standard font (at least 11 pt, except literature references for which 9 pt is permitted). Letters of recommendation or any other type of reference may not be added to the applications.

Approval of institute where the research will be carried out

Please confirm your electronic application in writing and send it to the following address:

Nederlandse Organisatie voor Wetenschappelijk Onderzoek (NWO)
CD Project Office/Humanities
PO Box 93425
2509 AK THE HAGUE
The Netherlands

The letter should contain the following information:

- title of the project;
- in connection with the 2001 NWO-VSNU Agreement (revised in 2004) relating to the delegation of employership: confirmation that the principal applicant has informed the competent authority (the director or the Dean) at the relevant

² When completing the fact sheet, you may only use ASCII symbols (plain text). This means that it is not possible to include formulae, italics, etc on the fact sheet. However, you can do this in the research proposal.

institute of the application by sending a copy of the application for subsidy, and agrees to all conditions governing the execution of the project;

- signature of the (principal) applicant and of the director or the Dean of the relevant institute, and the date, place and full names of the (principal) applicant and the relevant director. In the event of cooperation with partners in developing countries, the signature of this co-applicant is also required.

Iris fact sheet

1. Project title (Iris fact sheet)

Provide a brief title of the research proposal.

2. Summary (Iris fact sheet)

Give a brief and scientific summary of the project (no more than 250 words).

3. Principal applicant (Iris fact sheet)

NWO only recognises one principal applicant. He/she is primarily responsible for the research programme, both in terms of content and of financial management.

4. Co-applicant(s) (Iris fact sheet)

You may include any co-applicants under this heading. For proposals involving research in developing countries, the research team must include at least one applicant from a partner institute in the relevant developing country.

Application

5. Thematic classification

Indicate which of the theme programme's lines of approach (i.e. Intermediality and/or Popular Culture) serve to elaborate the research proposal in your opinion. You should also indicate which other lines of approach in the theme programme you intend to use. Your answer to this question should comprise no more than 50 words.

6. Previous and future submissions

Please indicate here whether you have already submitted this proposal (or intend to submit it) to NWO during the processing in connection with this programme. State the relevant field and/or programme, year of application and file number.

If you have also submitted this application elsewhere, or if you intend to do so, you should state this, as well as the date on which you expect a final decision, and the name of the relevant organisation.

7. Institutional setting

State at which Dutch (university) setting the research will mainly be carried out. The name of the relevant research school, research institute or Chair group is sufficient.

8. Period of funding

State the total duration of the project and the anticipated starting date of the research. Projects must start as soon as possible after approval, and in any event before 1 May 2010.

9. Composition of the research team

State the composition of the research team:

- principal applicant
- co-applicant(s)

- proposed supervisor(s)
- input to be contributed by the university(ies) and/or institute(s)

Give a table stating all persons involved (if known), their surnames and initials, titles and affiliations (university, institute, company, institution etc) and state all disciplines involved in the research. In the event of PhD research, please state the name of the thesis supervisor.

For proposals involving research in developing countries, the research team must include at least one applicant from a partner institute in the relevant developing country, and one PhD researcher or Postdoc researcher from a developing country. Give full details of the co-applicant from the developing country (title, university, e-mail, address, tel. no.).

10. Description of the proposed research

The following aspects must be covered:

- academic importance (formulation of problem definition and objective, theoretical framework, innovative elements);
- quality of the research group;
- compatibility with the Cultural Dynamics programme/additional value for the programme;
- anticipated societal/cultural relevance, as well as involvement of target groups when dealing with the formulation of the question and when publicising the results;
- cohesive structure and additional value of the proposed design (suitability of the type of programmatic support for this research, indication of the sub-projects);
- international orientation;
- multidisciplinary cooperation.

The detailed research proposal must not exceed 2000 words for the general programme description, and a further 800 words for each of the sub-projects. This limit does not include the list of literature references, which should occupy no more than one A4 page (9 pt).

11. Word count

State the number of words used for item 5 and also for item 10 (excluding the list of literature references).

12. International perspective

Indicate the position of your research proposal in international developments in your field. You should also list all contacts and collaborative projects with international researchers or research groups relating to your research proposal. It is important that you state what role your international partners will play in the research.

13. Work programme

Specify and phase the work plan for the anticipated duration of the subsidy. If you plan to publish interim articles or other products, please set these out clearly in a schedule, including a timetable and stating the names of the researchers who will be expected to supply the products.

You should reserve time for writing publications during the entire period of funding. In the event of PhD research, you should give a timetable for the pursuit of the doctorate (within the term of the appointment!)

14. Planned scientific deliverables and knowledge dissemination

What results do you intend to achieve through your research and when, and how will you communicate them? Explain how you intend to disseminate the results of your research (publications, other media, etc), and what communication activities you have planned. Include a timetable as well. Communication should not only be aimed at disseminating your research results within the academic community, but also at making available, translating and (if applicable) use of relevant research results for

and by societal partners or interested parties outside the established academic community. The budget for these activities should be between 5% and 10% of the total budget.

15. Brief curriculum vitae of the principal applicant

You are expressly requested not to submit an exhaustive curriculum vitae. Please mention only those matters which are relevant to the assessment of the application.

16. Literature

- *Selection of publications.* Mention a selection of no more than 10 (leading) publications by the applicant(s). Do the same for the principal co-applicant in the event of research in developing countries.
- *International literature.* Mention 10 key publications in international literature pertaining to the subject matter of the proposed research.

17. Summary for laymen

Please give a summary of your proposed research for laymen (in Dutch). If subsidy is granted, NWO may also use this text for publicity purposes. This summary may occupy no more than half an A4 page.

18. Research budget

Give a table (see below) indicating the desired staff and equipment for the entire duration of the grant, briefly stating your reasons. You may only apply to NWO for expenses which are directly and exclusively connected with the research proposal. Please see the Call for Proposals, notably Section 3.2.

With respect to the staff, please give the following details: the nature (Postdoc, PhD, replacement, project researcher from a developing country), the duration and the volume of the appointment in FTEs. Based on the salary level specified in the VSNU contract dated 1 July 2008, standard remunerations for PhD researchers and Postdoc researchers with a full appointment are EUR 197,655 (4 years) and EUR 194,070 (3 years) respectively. This does not include the bench fee.

If third parties contribute to the research, you must state the names of those contributing and the nature of their contributions.

In the event of exceeding the budget limit (EUR 450,000), you must give a brief explanation of this in the application, and the institute (or a third party) must guarantee that it will pay the exceeding amount when submitting the application. This guarantee may be made in an authorised letter sent by post.

Appendix

Overview of items for full proposals

1. Project title (Iris fact sheet)

2. Summary (Iris fact sheet)

3. Principal applicant (Iris fact sheet)

4. Co-applicant(s) (Iris fact sheet)

5. Thematic classification

6. Previous and future submissions**7. Institutional setting****8. Period of funding****9. Composition of the research team****10. Description of the proposed research****11. Word Count****12. International Perspective****13. Work programme****14. Planned scientific deliverables and knowledge dissemination****15. Brief curriculum vitae of the principal applicant****16. Literature****17. Summary for laymen****18. Research budget**

	200y	200y+1	200y+2	200y+3	200y+4	TOTAL
Staff costs: employees Dutch institutions(in k€)						
Postdoc						
PhD student (Promovendus)						
Replacement						
Staff costs: researchers from developing countries						
PhD – Months in Netherlands						
PhD – Months in DC						
Postdoc – Months in Netherlands						
Postdoc – Months in						

DC						
Replacement						
Non staff costs: (k€)						
Benchfee						
Popular/societal knowledge dissemination						
Internationalisation						
Other (specify!)						
TOTAL						