Social Sciences and Humanities

Call for proposals

Internationalisation in the Humanities

2017

Introduction and purpose

This grant programme will support successful applicants to set up and run a network of international researchers over a maximum period of three years aiming at developing international partnerships between humanities scholars. The activities for which you are requesting funding must contribute to a structured, coherent and sustainable international collaborative network comprising of a Dutch research group together with at least two humanities research groups from another country. The humanities network should have added value in a form that transcends the level of individual internationalisation (conferences, fieldwork etc.) and it should strengthen Dutch humanities research in the international field.

The collaboration should lead to one or more forms of joint output, such as research publications. Preferably the collaboration should lead to one or more international research proposal(s) to be submitted in an international call for proposals, for example within the framework of Horizon2020.

The total budget for 2017 is € 300,000. Grants can be requested for projects between € 25,000 and € 75,000. For each project a contribution from at least two foreign partners is required, see also 'What can be applied for'.

This call for proposals was approved in November 2016 and is valid until the closing date for the submission of applications namely **March 2nd 2017, 14.00 hours CEST**.

Who can apply

Researchers from the following knowledge institutions can submit proposals:
- Dutch universities;
- NWO and KNAW institutes;
- the Netherlands Cancer Institute;
- the Max Planck Institute for Psycholinguistics in Nijmegen;
- researchers from the DUBBLE Beamline at the ESRF in Grenoble;
- NCB Naturalis;
- Advanced Research Centre for NanoLithography (ARCNL).

Applicants must be humanities scholars and employed in a research or academic institution in the Netherlands (this refers to the Dutch universities, NWO and KNAW institutes, the Netherlands Cancer Institute and the Max Planck Institute for Psycholinguistics in Nijmegen). The applicant must hold a PhD or equivalent qualification, and must have a tenured or a temporary position during the assessment of the application and for the duration of the project. Researchers not employed by a research institute recognized by NWO can be part of the network but cannot act as applicant.

Co-applicant(s) - optional
An application can have a maximum of two co-applicants. Possible co-applicants are also experienced researchers (the applicant must hold a PhD) with a tenured or temporary appointment at a university of applied sciences or one of the aforementioned knowledge institutions for the duration of the application process and the project.

In this round a researcher may submit a maximum of two applications but only once as the main applicant. This means that he/she can act as the main applicant for one application and as the co-applicant for the other application or as a co-applicant for two different applications.

What can be applied for

A project is aimed at setting up an international research network for humanities scholars. The minimum duration is one year and the maximum three years. The size of the project is at least € 25,000 and at most € 75,000. NWO will fund a maximum of 75% of the project budget, with a maximum of € 50,000. A minimum of two foreign partners must contribute to the remaining part of the budget (at least 25%). Signed guarantee statements of the co-financing partners must be submitted online together with the application.

The funding can only be used for staff costs (replacement grant) and direct (material) costs. You can request funding for:

1. Staff costs

For the Dutch applicant a lump sum replacement grant of maximum € 12,500 can be requested for writing an international funding proposal and/or non-Dutch synthesis, for a grant of maximum 12 months on a 0.25 fte basis; the remuneration is always calculated in proportion to the research period. The employer can use the replacement grant to cover the costs of a replacement for the non-research part of the applicant's tasks (education and supervisory tasks).

International funding proposals applications could be written within the framework of the European Framework Programme for Research and Innovation (Horizon2020), the European Research Council or the Andrew W. Mellon Foundation. Writing applications for other international calls is allowed as well.

Please note however, that it is not allowed to use the replacement grant to write applications for calls in which NWO participates (such as HERA, Cooperation FWO-NWO).

This funding instrument does not reimburse other personnel costs.

2. Direct (material) costs

- One-off costs for setting up a network,
- Costs for organising (a series of) meetings/workshops,
- Costs for the exchange of researchers (solely for travel and accommodation costs),
- Publication costs,
- Translation costs (solely for international proposals),
- Other material costs (network research costs, website etc. For a website only the costs of hosting by the provider and the technical support may be declared).

Combine these activities/components when preparing your application.

Not eligible for funding are costs for educational activities (such as courses, summer schools, international travel of PhD students in the context of their PhD research).

Guarantee statements

The signed guarantee statements from at least two foreign partners must be submitted with your application.

Guarantee statements must be on official letterhead and addressed to the NWO Social Sciences and Humanities director, Dr. D.J. den Boer. It should include the following information:

- Title of the project proposal,
- Full name of the main applicant,
- Confirmation of the financial contribution to the project's budget by the foreign partner,

- Signatures, titles and full names of the authorized signatory of the contributing institution,
- Date and place.

When can applications be submitted

The deadline for the submission of proposals is **March 2nd, 2017**, 14:00 hours CEST.

When you submit your application to ISAAC you will also need to enter additional details online. You should therefore start submitting your application at least one day before the deadline of this call for proposals. Applications submitted after the deadline will not be taken into consideration.

Preparing an application

You can submit a research proposal by following these steps:

1. Download the **application template** from the online application system ISAAC or at the bottom of the grant page of this programme. Save the completed form as a pdf file and upload it in ISAAC.
   When drawing up your proposal you must follow the application template. Applications should be written in English in a standard font (at least 11 pt, with the exception of the references for which 9 pt is permitted).

2. Download the **budget template** and fill it in. Save the template as a pdf or Excel file and upload it in ISAAC (section *Overig*).

3. Download the **template of the guarantee statement**. Upload the guarantee statements of your contributing foreign partners in ISAAC (section *Overig).*
   Supplying a scan of the signed version of the original letter is sufficient as long as it is drawn up on letter paper and in accordance with the conditions stated in the template. NWO reserves the right to request the original statement from the main applicant.

4. Download **the template of the statement of approval from the institution** and draw it up. The statement of approval should, in accordance with the template, be written on the letter paper of the knowledge institution. Save the signed statement of approval as a pdf file and upload it in ISAAC (section *Inbeddingsgarantie*). By submitting the statement of approval the institution confirms where the project will be realised and that they have been informed about the application and agree with all of the conditions that the project must satisfy to be realised. In the case of an application for a joint project each institution from the Netherlands must submit a separate statement of approval.

Conditions on granting

For this call for proposals the NWO Regulation on Granting, version 1 December 2015 (www.nwo.nl/subsidieregeling) and the Agreement on the Payment of Costs for Scientific Research (www.nwo.nl/akkoordbekostiging) apply.

Please note that by submitting your application you declare that you satisfy the nationally and internationally accepted standards for scientific conduct as stated in the Netherlands Code of Conduct for Scientific Practice 2012 (Association of Universities in the Netherlands).

The ['NWO Code of Conduct regarding Conflict of Interests'](#) applies to all persons and NWO personnel involved in the assessment and decision-making procedure for this call for proposals.

Open Access

All scientific publications resulting from research that is funded by grants derived from this call for proposals are to be immediately (at the time of publication) freely accessible worldwide (Open Access). There are several ways for researchers to publish Open Access. A detailed explanation regarding Open Access can be found on www.nwo.nl/openscience-en.

Nagoya Protocol

The Nagoya Protocol became effective on 12 October 2014 and ensures an honest and reasonable distribution of benefits emerging from the use of genetic resources (Access and Benefit Sharing; ABS). Researchers who make use of genetic sources from the Netherlands or abroad for their research should familiarise themselves with the Nagoya Protocol (www.absfocalpoint.nl). NWO assumes that researchers will take all necessary actions with respect to the Nagoya Protocol.

Submitting an application

An application can only be submitted to NWO via the online application system ISAAC, https://www.isaac.nwo.nl. Applications not submitted via ISAAC will not be taken into consideration.

A principal applicant must submit his/her application via his/her own ISAAC account. If the principal applicant does not have an ISAAC account yet, then this should be created at least one day before the application is submitted to ensure that any registration problems can be resolved on time. If the principal applicant already has an NWO-account, then he/she does not need to create a new account to submit an application.

When you submit your application to ISAAC you will also need to enter additional details online. You should therefore start submitting your application at least one day before the deadline of this call for proposals. Applications submitted after the deadline will not be taken into consideration.

For technical questions please contact the ISAAC helpdesk, see the section *Contact*.

Assessment procedure

1) The first step in the assessment procedure is to determine the admissibility of the application. This is done using the conditions stated in previous paragraphs of this call for proposals and the eligibility criteria listed below.
2) All eligible applications will be assessed and ranked by the Social Sciences and Humanities Board. As these are short projects with relatively small budgets the NWO Regulation on Granting does not apply; consequently no use is made of external expert evaluators. The Social Sciences and Humanities Board will therefore appoint two of its members who will independently prioritize the applications, based on the criteria described below. If they have any questions about a proposal, they may request additional information from the applicant. The rebuttal will be included in the final ranking of all proposals.

3) Subsequently the two appointed board members will advise the Social Sciences and Humanities Board on which proposals should be funded. A decision of the Board is expected in June. The main applicant will be informed of the outcome by the beginning of July 2017 at the latest.

NWO gives all proposals a qualification. The applicant is informed of this qualification when the decision about whether or not to award funding is announced.

Only applications with the qualifications '*excellent*', '*very good*' and '*good*' will be considered for funding on the basis of its priority ranking and the limits on the financial resources available. Applications with the qualification 'unsatisfactory' will not be awarded funding.
For further information about the qualifications see www.nwo.nl/kwalificaties.

NWO gives all full proposals a qualification. The applicant is informed of this qualification when the decision about whether or not to award funding is announced.

Only proposals that receive at least the qualification excellent/very good/good will be eligible for funding.

For further information about the qualifications see www.nwo.nl/kwalificaties.

Criteria

Eligibility criteria
NWO screens applications to ensure that they comply with the formal requirements of the grant programme. Only those which do, qualify for further consideration[1]. The eligibility criteria are:

1. the application must be in the field of the Humanities,
2. the main applicant should be actively involved in the project,
3. the application must be written in English, follow the prescribed format and be submitted via ISAAC,
4. the application must be submitted before the deadline,
5. there are at least two co-financing partners from another country,
6. the main applicant may only submit one application per year for this grant programme,
7. the application may only have been submitted once before,
8. a completed budget format in Excel or pdf must be submitted in ISAAC as a separate attachment with your application,
9. a digital copy of the original signed guarantee statements of your partners must be submitted in ISAAC as separate attachments with your application,
10. a digital copy of the statement of approval from the institution must be submitted in ISAAC as a separate attachment with your application.

---

[1] If it is still possible to correct the application or to supply the required annexes, then the applicant will be given the opportunity to adjust his/her application and/or supply the required annexes within 48 hours. If the applicant cannot or will not satisfy this requirement then the application will not be considered further. If the application is corrected on time then this will, subject to its approval of course, still be taken into consideration.

Assessment and ranking criteria

Applications are assessed on the basis of the following criteria:

1. importance, added value and urgency for the Humanities and for the involved humanities research groups of the proposed research networking activities;
2. scholarly quality and relevance/appropriateness of the research groups involved;
3. technical aspects:
   a) management and coordination of the project,
   b) output, dissemination and impact,
   c) feasibility of the planned activities.

The weighting given to these criteria in the overall rating of the application is 25%, 25% and 50% respectively.

Within the grant the following results can be aimed for:

1. A fully elaborated international research proposal. This should concern concrete plans in which it is clearly indicated where and when this international research proposal will be submitted within the duration of the project.
   The main applicant should preferably be the main applicant of the international funding proposal.
2. A structurally embedded international research network. The network should have a permanent nature.
3. Research infrastructure. Possible examples are the joint development and maintenance of databases, metadata standards and virtual locations for collaboration.
4. One or more forms of other joint output, such as scientific publications. The intended output should be a direct outcome of the collaboration intended in the proposal.

The combination of activities for which you are requesting funding must contribute to a structured, coherent and sustainable international collaborative network comprising of a Dutch research group together with at least two research groups from another country. The network should have added value in a form that transcends the level of individual internationalisation and strengthen Dutch humanities research in the international field.

In the event that a further ranking is necessary then during the assessment most weight will be given to point 1: 'a fully elaborated international research proposal'.

Contact

For specific questions about Internationalisation in the Humanities and this call for proposals please contact: Ms Laia Frijhoff MA (l.frijhoff@nwo.nl, +31 70 3440534).

For technical questions about the use of ISAAC please contact the ISAAC helpdesk. Please read the manual first before consulting the helpdesk. The ISAAC helpdesk can be contacted from Monday to Friday between 10:00 and 17:00 hours CE(S)T on +31 (0)20 346 71 79. However, you can also submit your question by e-mail to isaac.helpdesk@nwo.nl. You will then receive an answer within two working days.

Annexes

Applications for grants within the framework of Internationalisation in the Humanities can be submitted until the deadline of March 2nd 2017, 14:00 CEST.

Please note that by submitting your application you declare that you satisfy the nationally and internationally accepted standards for scientific conduct as stated in the Netherlands Code of Conduct for Scientific Practice 2012 (Association of Universities in the Netherlands).

There is no specific form available, but when formulating your proposal you must follow the guidelines given below. Applications should be written in English in a standard font (at least 11 pt, with the exception of the references for which 9 pt is permitted).

I. General information (to be filled out in your ISAAC account)

1. Main applicant
NWO recognizes just one main applicant. This person will bear overall responsibility for the project. He/she will be responsible for both the research and financial aspects of the project. Any co-applicants should be detailed under part 4 in the application form.

2. Title of the research proposal
State a brief title for the project proposal.

3. Abstract
Provide a brief summary of the project (maximum 250 words). This should amplify the title of the research proposal.

4. Grant period
Fill out the intended starting and ending date in ISAAC. The minimum duration is one year and the maximum duration is three years.
The project should start no later than six months after the grant has been formally awarded; a later starting date can lead to the grant being withdrawn.

5. Main field of research
Select the main discipline and sub disciplines relevant to your application under the section "General Information" (Algemeen), "Research fields" (Disciplines). You can fill out a maximum of 3 sub disciplines.
See also: www.nwo.nl/researchfields.

II. Project proposal

Download the **application template** from the online application system ISAAC or at the bottom of the grant page of this programme to describe the following parts. Save the completed form as a pdf file and upload it in ISAAC.

1. Details main applicant
Title:
First name:
Initials
Prefix:
Surname:
Male/female:
Address for correspondence (for the entire period of the Internationalisation in the Humanities round):

Telephone:
Cell phone:
Email:
Website (optional):

2. **Co-applicant(s) - *if applicable***
State the names and affiliation of any co-applicants here. An application may have a maximum of two co-applicants.

3. Institutional setting
State the name of the main applicant's Dutch humanities research group (research school, research institute or department) concerned and provide a brief description (profile) of the institute where the project will be primarily coordinated from.

4. Title of research proposal

5. Resubmitted proposal
If a proposal is being resubmitted then please state the year of submission, file number and title of the previous proposal.

6. Foreign and Dutch humanities research groups and participants
Provide a brief description of the research groups from the Netherlands and abroad who will be involved in the collaboration. State how the collaboration relates to the institutional setting of the research group. State the names and specializations of the researchers involved and briefly explain the role that each person and/or institute will play in realizing the proposal.
For each contributing foreign partner (and if applicable contributing partner from the Netherlands) a digital version of the original guarantee statement should be uploaded in ISAAC.

7. Short project description
Describe (in max. 1500 words):
a) the specific aim of the project (including brief research plan),
b) the importance, added value and urgency of the collaboration for the Humanities and the participating humanities research groups,
c) the scientific / scholarly quality of the research groups involved,
d) the management / coordination of the project, including the applicant's ability to act as an international project leader (with reference to the CV, Part 9) apparent from a general international orientation (participation in international research activities and international collaborations),
e) the relevance and coherence of the planned activities, output,
f) the feasibility of the planned activities.
Please refer to Part 9 for the activities the proposal can consist of.

8. Word count
State the number of words you have used for Part 7 (excluding the references).

9. Intended results
Describe the intended results of the project. Within this type of grant the following results can be aimed for:
1. one or more fully elaborated international research proposal(s) within the duration of the project,
2. a structurally embedded international research network,
3. research infrastructure,
4. one or more forms of other joint output, such as publications.

Please provide a detailed planning, as well as an overview with deliverables.

10. Short CV of main applicant
You should limit your CV to those aspects relevant for the assessment of the project, in particular existing international research activities and international collaborations (max. one page A4).
If you have preference for correspondence in English, please include this in your CV.

11. Public summary
Write a public summary on your project in Dutch using no more than 50 words. This should be aimed at a large public. Provide the summary with a catchy title in Dutch. This public summary can be used by NWO for publicity purposes. For instructions and examples see: http://www.nwo.nl/publiekssamenvatting

12. Project budget
- Please use the Excel table on the grant page to draw up the project budget.
- Save the completed Excel table as a pdf file and upload it in ISAAC under *'overig'*.
For more information on the budget items, please see above.

Please note: besides your grant application form and project budget, also upload both the digital version of the original, signed guarantee statements from the contributing partners and the template of the statement of approval from the institution. All documents must be uploaded before March 2nd, 2017. If that is not the case then your application will no longer be considered.

NWO

Netherlands Organisation for Scientific Research